

# CLIMATE INVESTMENT FUNDS

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Joint Meeting of the CTF and SCF Trust Fund Committees  
Washington D.C.  
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**PROGRESS REPORT ON CIF KNOWLEDGE MANAGEMENT ACTIVITIES**

## **I. INTRODUCTION**

1. The purpose of this note is to provide updated information to the CTF and SCF Trust Fund Committees on the activities being undertaken to advance knowledge management within the CIF.

## **II. APPROACH AND PROGRAM OVERVIEW**

2. The FY 14 knowledge management work program and budget reflects a ramped up effort by the CIF Administrative Unit and the MDB Committees to distill and communicate the lessons emerging through CIF-financed investments and activities. In FY 14, knowledge management activities, including those under the Global Support Program, are focusing on three key objectives:

- a) distilling and disseminating lessons from the CIF portfolio
- b) encouraging south-south learning among pilot countries
- c) integrating information sharing and learning activities into CIF projects and country programs

3. In addition, a number of learning priorities emerging from discussions at meetings of pilot countries and the experiences of the MDBs in supporting the implementation of CIF activities at the program and project levels have been agreed by the CIF Administrative Unit and the MDBs. Some of them are cross-cutting in nature, while others are specific to individual CIF programs. CIF knowledge and learning products and activities have been developed to align with key objectives and address learning priorities at the program and portfolio levels. Knowledge and learning products not directly linked to these objectives have also been developed on an opportunistic basis to capture and share lessons on topics of relevance for CIF projects and programs.

4. The following sections introduce the major FY 14 knowledge products and activities led by the CIF Administrative Unit that have been completed or are currently underway. Additional knowledge and learning activities supporting these objectives are being carried out by the MDBs. Other products and activities beyond those presented here may be developed in FY 14.

## **III. OBJECTIVE 1: DISTILLING AND DISSEMINATING LESSONS FROM THE CIF PORTFOLIO**

### **FY 14 Portfolio Learning Priorities:**

5. Priority themes for the FY14 learning activities are:
- a) the development impact of CIF investments
  - b) good practices in integrating gender in CIF investments

- c) measuring and reporting on progress and results
- d) mobilizing private sector investment through climate finance

#### *2014 CIF Partnership Forum and Knowledge Bazaar*

6. Knowledge and learning products and activities developed throughout FY 14 will be discussed and disseminated through webinars, the CIF, MDB, and partners' websites, promoted through social media, and showcased at the 2014 CIF Partnership Forum Knowledge Bazaar in Montego Bay, Jamaica. The Knowledge Bazaar staged at the 2012 CIF Partnership in Istanbul, Turkey, was very well received and seen as a major highlight of the Partnership Forum. The 2014 Knowledge Bazaar will include substantive CIF learning content, a variety of views and topics, and a networking environment, as well as spaces for other CIF stakeholders to exhibit CIF-related work and areas for real-time information capture and blogging. The CIF Administrative Unit will work closely with the MDBs, CIF recipient and contributor countries, and stakeholders from indigenous peoples organizations, civil society and the private sector to identify and develop content for the Knowledge Bazaar.

#### *CIF Retrospective*

7. With the CIF into the fifth year of operations, it is appropriate to take stock of key lessons that have emerged from the funds' governance and operations. The CIF Administrative Unit has commissioned Vivid Economics, a leading strategic economics consultancy, to undertake a review of key topics and themes where the experience of the CIF can be instructive to practitioners and policy-makers involved in low carbon and climate resilient development planning, policy-making and investment. The objective of this work is to consolidate, document and share important lessons from five years of CIF experience in mobilizing and deploying climate finance in 48 developing countries. The report is expected to be completed and published by March 2014.

#### *Measuring results web resources and guidance material*

8. A series of learning materials and activities have been developed and carried out to assist countries in developing and implementing systems for measuring, monitoring, and reporting results of CIF-financed activities. The new *Measuring Results* pages on the CIF website, launched in August 2013, include practical guidance material developed by the CIF Administrative Unit with inputs from countries and MDBs to support in establishing monitoring and reporting systems for their CIF investment plans and projects, as well as other initiatives supporting low carbon and climate resilient development.<sup>1</sup>

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<sup>1</sup> <https://www.climateinvestmentfunds.org/cif/measuring-results>

## **FY 14 Clean Technology Fund Learning Priorities**

9. Priority themes for the FY14 learning activities under the CTF are:
  - a) concentrated solar power: effectiveness of business models and financing arrangements
  - b) geothermal: south-south learning on geothermal sector development

### *Concentrated Solar Power (CSP): Distilling Lessons from the CIF and Global Experiences*

10. The CIF Administrative Unit has commissioned the Climate Policy Initiative (CPI) to undertake a body of knowledge work to better understand the impact of the CIF in deploying and scaling up CSP in several markets and compare to other CSP developments or projects globally. Through an analysis leading to the production of two project case studies, one lessons paper, and one policy brief, CPI will extract and distil early lessons at both the portfolio and project levels from the CTF experience in financing CSP as well as from the broader global experience. The analysis will delve into financing, policy and technology aspects of CSP investments, as well as stakeholder roles in specific projects. It is expected that the analysis will also point to some conclusions on the extent to which assumptions made at the time CTF investment plans with CSP components were prepared – with regard to achieving economies of scale, cost reductions, promoting learning by doing, and managing risks – have borne out through experience and the reasons for this (recognizing that some projects supported by the CTF are still at an early stage and therefore conclusions may be interim), as well as the extent to which a relatively large volume of concessional finance for individual CSP projects dispersed across regions can influence the adoption of a technology at scale.

11. In addition to this analysis and building on it, CPI will convene a series of three “CSP dialogues” to crowd-in lessons from the global experience in CSP development and generate recommendations on future targeting of concessional finance in CSP development.

12. This work is underway and all outputs will be completed by the 2014 Partnership Forum. Individual outputs will be published and disseminated as they are finalized. The first CSP dialogue was held at CPI headquarters in Venice on October 2, 2013, and the second is planned to coincide with the World Future Energy Summit in Abu Dhabi in January 2014.

### *Low Emissions Investment Planning eCourse*

13. The CIF Administrative Unit is collaborating with the World Bank Institute to develop an eCourse on low emissions investment planning. The course provides a high-level introduction to the importance of, and process for, developing low emissions investment plans and is structured around modules that align with each component of the CIF investment planning process, including: (a) scoping to develop the country context, tools and frameworks for prioritizing sector options through an assessment of implementation potential and risks, (b) the development of project concepts including results measurement and selection of the appropriate financial instruments, and (c) considerations for the implementation phase, such as periodic reviews and course corrections. The content of the eCourse is now being finalized and the course will be

tested beginning in early 2014.

### **FY 14 Forest Investment Program Learning Priority**

14. The priority theme for the FY14 learning activity under the FIP is:

- a) REDD+ readiness processes – conditions for readiness and how to achieve them

#### *FIP REDD+ Readiness Linkages*

15. Work to extract lessons learned from the FIP programming process is under way seeking evidence on the effectiveness of programming up-front finance by building on or enhancing REDD+ readiness elements in the pilot countries. Results from this work will be presented in the form of a learning product and shared during the 2014 CIF Partnership Forum.

16. Climate Focus, an international advisory company focused on the reduction of greenhouse gas emissions, has been commissioned to carry out the work and will conduct in-depth interviews with government representatives, MDB task teams, civil society organizations, indigenous peoples groups, relevant UN agencies and private sector representatives to help articulate and deepen understanding of key aspects of the FIP programming process and the linkages between REDD+ readiness approaches and FIP investment plans.

17. The work will also explore the extent to which the FIP in the pilot countries has acted to consolidate and complement the development of REDD+ strategies (or equivalents) and implementation of “readiness” activities and highlight any overlap between “readiness” and “implementation” activities supported by various programs, including FCPF, UN-REDD Programme, GEF and FIP. Where FIP pilot countries have started implementing projects on the ground, the work will analyze and present factors contributing to such progress. Work is underway and is expected to be completed by the end of January 2014.

### **FY 14 Pilot Program for Climate Resilience Learning Priorities**

18. Priority themes for the FY14 learning activities under the PPCR are:

- a) modalities for deepening engagement on mainstreaming resilience into development planning
- b) approaches to strengthening hydromet and climate services for climate resilient development

#### *Learning Lessons from “Phase I” for Developing Strategic Investment Frameworks for Climate-Resilient Development*

19. A review of findings, key lessons, challenges, recommendations, and next steps from the activities undertaken by PPCR pilots using PPCR “Phase I” grant resources is now underway. Pilot countries and regions have been consulted to provide detailed input on their activities and experiences during that phase. From the collection and analysis of pilot country experiences, a

series of more in-depth case studies will be developed as part of the overall review of PPCR Phase I activities. The review will document lessons that can be learned regarding the relevance, flexibility, and effectiveness of “Phase 1” activities and funding. The work is being carried out by a consultant and is expected to be completed in early 2014.

*PPCR Dialogues: Strengthening the Business Case for Climate Resilience*

20. The CIF Administrative Unit is collaborating with the German Federal Ministry for Economic Cooperation and Development on a half-day workshop to share experiences among MDBs, bilateral organizations, PPCR pilot countries, PPCR Sub-Committee members, and other stakeholders to better understand and share experiences regarding the degree and nature of “upstream” work required to lay the groundwork for private sector involvement in strengthening climate resilience. The workshop will take place on October 31 and is expected to be the first of at least three discussions on how to strengthen the business case for climate resilience. A summary report of the workshop will be prepared and disseminated.

*PPCR Pilot Country Participation in the Third International Conference on Climate Services*

21. To further strengthen learning among PPCR pilots around the theme of hydromet and climate services, the CIF Administrative Unit will support the participation of one hydromet professional from each of the PPCR pilot countries with a PPCR-financed and MDB-approved hydromet investment to participate in the third International Conference on Climate Services (ICCS3) in Montego Bay, Jamaica, from December 4-6, 2013. The conference, organized by the Climate Services Partnership, will bring together practitioners and experts working on hydromet and climate services from around the world to discuss the topic of the transition from pilot activities to sustained climate services. During a session focusing on the PPCR, representatives from PPCR pilots will share case studies underscoring how efforts have been made to design and deliver appropriate and effective climate services and discuss key institutional, technical, and practical challenges faced and early lessons learnt. Participation in this conference will provide an opportunity for hydromet professionals from PPCR pilots to learn from global experience as well as to strengthen the community of hydromet practitioners in PPCR countries.

*Knowledge Notes*

22. Country-level climate investment planners are the target audience for a new series of knowledge notes currently under development. These brief (two page) notes will share practical information and lessons drawn from the experience of CIF pilot countries in developing and/or implementing CIF investment plans and projects. The notes draw on the documented experiences, lessons, and outcomes from CIF pilot countries, including several rounds of updates shared by countries during pilot countries meetings, synthesizing this information into simple “how-to” notes to guide investment planning, from how to choose a lead coordinating agency to how to mobilize co-financing for identified investment priorities.

#### **IV. OBJECTIVE 2: ENCOURAGING SOUTH-SOUTH LEARNING AMONG PILOT COUNTRIES**

*Meeting of PPCR Pilots, May 1-3, 2013, Washington, D.C.*

23. More than 80 participants representing PPCR pilot countries, contributor countries, observers to the PPCR Sub-Committee and MDBs gathered for the seventh meeting of PPCR pilots. The meeting was viewed very positively by participants, with 94% of survey respondents rating it good or excellent overall. PPCR pilots shared their experiences on program coordination and stakeholder engagement, while MDBs presented their experiences working with the private sector on climate resilience efforts, including a project being supported by the PPCR, which raised participants' awareness and their demand for more knowledge exchange on this topic. A special training for M&E specialists from PPCR pilots was organized on the operationalization of the revised PPCR Results Framework. Participants reported that this significantly increased their knowledge and understanding of how to develop and report on baselines and targets for PPCR core indicators, and they appreciated the opportunity to provide feedback to further improve the PPCR monitoring and reporting toolkit.

*Meeting of SREP Pilot Countries, May 28-30, 2013, Bandos Island, Maldives*

24. The fifth meeting of SREP pilot countries, hosted by the Government of the Maldives, garnered positive reviews, with 97% of respondents rating the meeting as good or excellent. The meeting included many highly interactive sessions in which pilot country representatives, MDBs, and others engaged in lessons sharing and workshop style problem solving. For example, one session brought countries and MDBs together to assess and recommend specific indicators to be included in a new enabling environment index being developed with CIF financing. Themes covered in the meeting included enabling environments for renewable energy and energy access, pipeline monitoring and project delivery, and new areas of learning. Participants indicated that they valued the opportunities provided by interactive sessions and country case study presentations to learn from other countries and exchange experiences and lessons.

*Meeting of FIP Pilot Countries, September 24-26, 2013, Yogyakarta, Indonesia*

25. The fifth meeting of FIP pilot countries, hosted by the Government of Indonesia, received high positive feedback from the more than 60 participants in attendance. A key achievement of the meeting was to enable pilot countries to discuss, refine, and reach consensus on a proposed approach for measuring and reporting results from FIP investment plans. Countries have reported that they appreciate the platform that this and other recent meetings of pilot countries have provided to enable them to provide feedback into FIP decision-making processes. Countries also learned from Indonesia's REDD+ experience and exchanged knowledge on issues of common interest to all FIP pilot countries, including local governance, sub-national approaches to REDD+, and the involvement of indigenous peoples, civil society and the private sector in contributing to national REDD+ objectives. Countries were particularly interested in Unilever's presentation on their work with palm oil suppliers in Indonesia to develop traceable, deforestation-free commodity supply chains.

**V. OBJECTIVE 3: INTEGRATING INFORMATION SHARING AND LEARNING ACTIVITIES INTO CIF PROJECTS AND COUNTRY PROGRAMS**

26. Recognizing that the development and implementation of CIF investment plans, projects and programs involves a high degree of learning by doing, the MDBs are working with pilot countries to integrate learning and information sharing components to capture, document and share emerging lessons into their investment plans and projects and programs. There is now an opportunity for CTF countries to integrate knowledge management components into the updated or revised investment plans. For instance, the revised MENA CSP investment plan approved in May 2013 includes a \$2 million grant allocation to establish an internet-based knowledge exchange platform for information sharing among partner countries, as well as targeted informational and training workshops, field visits, and on-the-job training within CSP projects.

27. On the project side, and consistent with the design documents of the FIP and PPCR, all projects brought to the Sub-Committees for approval under these programs will include knowledge management components. For example, Bangladesh’s Coastal Climate Resilient Infrastructure project includes \$1.5 million in funding for training, workshops, and studies. Where feasible and where countries demonstrate interest, the MDBs are supporting the inclusion of knowledge management components into new CTF and SREP projects and will identify lessons generated through projects and private sector programs that have already been approved. For instance, the Philippines’ Cebu Bus Rapid Transit program includes \$5 million in funding for studies, training, and capacity building.

28. The specific scope of knowledge management funding varies by investment plan and project. In some cases, specific knowledge management activities and outputs have been identified and budgeted, while in others, sums have been allocated to knowledge management with activities to be elaborated at a later stage. Tables 1 and 2 below indicate, respectively, the number of projects and new or revised investment plans with knowledge management components among those approved for CIF funding since May 2013.

**Table 1: Inclusion of Knowledge Management Components within CIF Projects**  
(approved May 1, 2013 - Sept 20, 2013)

<b>Fund</b>	<b>Number of Projects Approved</b>	<b>Approved Projects with KM Component</b>
CTF	10	8
FIP	3	2
PPCR	5	5
SREP	-	-

**Table 2: Inclusion of Knowledge Management Components within  
New or Revised CIF Investment Plans**  
(approved May 1, 2013 - Sept 20, 2013)

<b>Fund</b>	<b>Investment Plans/Updates Approved</b>	<b>Investment Plans with KM Component</b>
CTF	<ul style="list-style-type: none"> <li>• Colombia</li> <li>• Kazakhstan</li> <li>• Mexico</li> <li>• MENA CSP</li> <li>• Ukraine</li> </ul>	<ul style="list-style-type: none"> <li>• Colombia</li> <li>• MENA CSP</li> </ul>
FIP	N/A	N/A
PPCR	<ul style="list-style-type: none"> <li>• Haiti</li> </ul>	<ul style="list-style-type: none"> <li>• Haiti</li> </ul>
SREP	<ul style="list-style-type: none"> <li>• Tanzania</li> </ul>	<ul style="list-style-type: none"> <li>• Tanzania</li> </ul>

## **VI. NEXT STEPS**

29. The CIF knowledge and learning program is being further bolstered by an increased focus on communications in the CIF. Efforts are being made to fully integrate knowledge and learning products and activities with communications strategies, plans and activities to ensure that the relevant tools and channels, both in-person and virtual, are leveraged for reaching CIF stakeholders and target external audiences effectively. Efforts are underway to share lessons through a variety of platforms and tools, including conferences, webinars, online and in-person dialogues, south-south exchanges, technical sessions and workshops, eCourses, and toolkits.

30. As CIF projects and programs continue to advance, lessons are beginning to emerge across key themes which can be explored in future knowledge and learning products and activities. The crosscutting themes of gender mainstreaming, private sector engagement, measuring results, and coordination are posing challenges and generating opportunities for further knowledge-sharing and learning among pilot countries.