



Meeting of the SCF Trust Fund Committee  
Washington D.C. (Virtual)  
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**PPCR OPERATIONAL AND RESULTS REPORT (SUMMARY)**





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## PROPOSED DECISION

The SCF Trust Fund Committee reviewed the document, *SCF/TFC.14/3.1, PPCR Operational and Results Report*, and welcomes the progress that has been made in advancing the work of PPCR in the pilot countries.

The SCF Trust Fund Committee welcomes the analysis conducted by the CIF Administrative Unit, in collaboration with the MDBs, on achievements and results, resource availability, pipeline review, and portfolio updates.

## **1 Introduction**

1. This PPCR Operational and Results Report identifies key strategic issues, highlights decisions taken inter-sessionally by the PPCR Sub-Committee and provides a status update on the entire PPCR portfolio of programs and projects. Operational reporting covers the period from July 1, 2019 to June 30, 2020 (with additional updates as of September 30, 2020 on resource availability). Results reporting of projects under implementation covers the period from January 1 to December 31, 2019.

## **2 Strategic issues**

### **2.1 Overview**

2. As of June 30, 2020, PPCR resources reached USD 1.16 billion in cumulative funding. Thirty strategic programs for climate resilience (SPCRs) for 28 PPCR pilot countries and two regions had been endorsed by the PPCR Sub-Committee and all 65 projects in the pipeline of the original pilots had been approved by the PPCR Sub-Committee and the multilateral development banks (MDBs) for a total of USD 991.9 million in PPCR funding. Disbursements increased by 11 percent, from USD 588 million on July 1, 2019 to USD 650 million on December 31, 2019, with more than half of approved projects disbursing more than 50 percent of their respective PPCR financing amounts. The Africa region showed the highest disbursement rates.

### **2.2 Impact of COVID-19 on the PPCR portfolio**

3. PPCR Projects under implementation continue to make significant progress. Four additional projects were completed during the reporting period, bringing the completed number of projects to 11. However, several projects experienced disruptions as a consequence of the COVID-19 pandemic. These include delays in procuring and importing equipment and construction materials. Social distancing and travel restrictions have interrupted technical assistance by consultants, interactions with rural communities and local stakeholders, and implementation of physical works. Some planned training programs were either cancelled or postponed for these reasons. Travel restrictions imposed by countries also prevented key personnel from traveling to the field. With project teams working from home, implementation of PPCR projects has been slower in general. The MDBs and implementing agencies are responding with mitigation measures, including virtual work and trainings, shifting responsibilities from international to local consultants, extending completion dates and restructuring project implementation.

### **2.3 Business Development for Resilience Program (BDRP)**

4. In February 2020, the PPCR Sub-Committee approved the use of the remaining USD 28 million in PPCR resources to develop an additional pipeline of projects. These resources can be used by CIF countries to (a) develop innovative private sector resilience initiatives, (b) support Ministries of Finance and/or Planning to mainstream climate risk management into economic planning and development, and (c) provide project preparation grants and/or technical assistance to PPCR pilot countries. CIF countries that do not participate in PPCR can also apply for funding through (a) and (b). To support this objective, the CIF Administrative Unit in collaboration with the MDBs, established the Business Development for Resilience Program (BDRP) funding window with a pipeline of 20 projects. The project concepts were developed to also support countries' response to the COVID-19 crisis.

## 2.4 PPCR Resource Availability

- By September 30, 2020, PPCR had a total of USD 1.16 billion in cumulative funding. Total funding commitments reached USD 1.12 billion. Given an unrestricted fund balance (after reserves) of USD 23.4 million, and anticipated commitments under PPCR of USD 22.1 million (USD 5.8 million in capital resources and USD 16.3 million in grant resources) a total surplus of USD 1.3 million is expected in PPCR (See Table 1).

**Table 1: PPCR resource availability schedule**  
(USD million, as of September 30, 2020)

	Total	Non-grant	Grant
Unrestricted Fund Balance (C)	39.3	6.1	33.2
Future Programming Reserves	15.8		15.8
Unrestricted Fund Balance (C) After Reserves	23.4	6.1	17.3
Total Anticipated Commitments (D)	22.1	5.8	16.3
<b>Available Resources (C-D)</b>	<b>1.3</b>	<b>0.3</b>	<b>1.0</b>

## 2.5 Pipeline Management Update

- All 65 projects in the original pipeline of the first round of PPCR pilot countries had been approved by the PPCR Sub-Committee by the end of June 2018. The MDBs also completed approval of these projects in April 2019, shifting PPCR's focus toward implementation, monitoring and evaluation, completion, and continuous learning and engagement with the countries and stakeholders. In June 2020, the MDBs put forward 20 BDRP project concepts for endorsement by the PPCR Sub-Committee. The MDBs are currently developing full project proposals for final approval by the PPCR Sub-Committee.

## 2.6 Monitoring and Reporting

- This year the MDBs were able to report their results data directly in the CIF Collaboration Hub (CCH) for the first time. The results section of the CCH was launched in the spring of 2020 with training session for MDBs conducted in June and July. This shift to a digital reporting platform is expected to reduce inaccuracies in data entry and to enhance the data quality in the results reporting process. Recognizing the difficulty of in-country capacity building around PPCR results monitoring and reporting (M&R), the CIF Administrative Unit is developing an online training course on the PPCR M&R system in English, Spanish, and French to further support client countries. This training will be launched in spring 2021 before the next period of country reporting is due.

## 2.7 Knowledge Management

- The CIF Administrative Unit continues to leverage its relationship with the MDBs, PPCR countries, and relevant stakeholders through the CIF's Evaluation and Learning (E&L) Initiative and other partnerships to ensure further generation, dissemination, and uptake of PPCR lessons. This includes CIF's collaboration with Global Delivery Initiative (GDI), which continues to be very relevant with one additional case study in Niger completed.
- The PPCR Knowledge for Resilience (KfR) series was relaunched in 2020 to continue sharing knowledge that can advance climate resilience goals and guide decision-making among stakeholders, including practitioners, program partner governments, MDBs, civil society

organizations (CSOs), and climate finance institutions. The KfR series includes knowledge products, such as case studies and learning briefs, as well as knowledge events on topical issues.

10. Due to COVID-19, several knowledge engagement activities were postponed and PPCR countries were unable to participate as planned. These included the Climate Change Adaptation Futures Conference in India, which was scheduled to take place in April 2020, and the Caribbean-Pacific Knowledge Exchange in Jamaica, which was planned for May 2020.

### 3 Status of PPCR Portfolio

11. As of June 30, 2020, PPCR had a total pipeline allocation of USD 1.02 billion committed to 85 projects. This includes 60 projects under the endorsed SPCRs of the original pilot countries, five projects under the private-sector set-aside (PSSA) window, and 20 projects under the BDRP. Table 2 provides a summary of the portfolio status, including amounts and number of projects approved by the PPCR Sub-Committee and the MDBs, and total disbursement.

**Table 2: Overview of PPCR portfolio** (USD million, as of June 30, 2020)

	Indicative pipeline allocation				Approved funding		Disbursement
	TOTAL	SPCR	PSSA	BDRP	Committee	MDB	
<b>PPCR funding</b>	1,018.1	966.3	25.6	26.2	991.9	991.9	650
<b>Number of</b>	85	60	5	20	65	65	60

*Note: Amounts include Project Preparation Grants (PPGs). Disbursement data is as of December 31, 2019.*

12. **Co-financing:** Total expected co-financing for the entire PPCR portfolio of 85 projects amounts to more than USD 2.5 billion or a co-financing ratio of 1: 2.48. The MDBs remain the biggest source of co-financing, followed by recipient governments, bilateral/other donors, and the private sector.
13. **Strategic Program for Climate Resilience:** By December 2017, the PPCR Sub-Committee had endorsed all 10 SPCRs of the new pilot countries (i.e., SPCRs for Bhutan, Ethiopia, The Gambia, Honduras, Kyrgyz Republic, Madagascar, Malawi, Philippines, Rwanda, and Uganda). In total, the PPCR Sub-Committee has endorsed 30 SPCRs, which includes all 20 original pilots (18 individual countries and two regional programs for the Pacific and Caribbean) and the 10 new pilot countries.
14. **PPCR Subcommittee and MDB Approvals:** The PPCR Sub-Committee and the MDBs have completed the approval of 65 projects identified in the endorsed SPCRs of the original pilots and under the PSSA window. No BDRP projects were approved by the PPCR Sub-Committee during the reporting period.
15. **Project Implementation and Disbursements:** Overall, the PPCR portfolio is achieving significant progress, with most projects in advanced stage of implementation or nearing completion and several projects already completed. However, the COVID-19 pandemic has slowed implementation of some projects due to social distancing and travel restrictions to prevent the spread of the disease. Some projects have had to postpone or cancel in-person



engagements, including meetings, training, workshops, and project acquisitions. As a result, these projects may need to extend implementation timelines or be restructured to adjust planned activities and reflect realistic targets to be achieved. By the end of December 2019, 60 projects were disbursing PPCR funds. Cumulative disbursements reached USD 650 million. The level of project disbursements as a percentage of MDB-approved funding for projects continues to increase, reaching 66 percent

16. Project Completion: During the reporting period, four PPCR projects were completed, bringing the total number of completed projects to 11.

#### **4 Cross-cutting Themes**

##### 17. Knowledge Management and Evaluation and Learning

- CIF joined the Alliance for Hydromet Development to share and further position CIF's experience and comparative advantage in hydromet financing and to improve synergies and coordination with other agencies involved in hydromet development. A formal signing of CIF's membership in the Alliance took place on October 1, 2020 during the week-long 72nd Executive Council Meeting of the World Meteorological Organization (WMO).
- Ongoing collaboration with the World Bank's Development Impact Evaluation (DIME) team on the impact evaluation of Mozambique's Sustainable Land Water Resource Management Project is expected to be completed in 2021.
- From February 11 to 13, 2020, selected PPCR countries (Bhutan, Mozambique, Nepal, and Tajikistan) participated in the 6th International Conference on Climate Services in Pune, India and shared lessons and good practices in designing and delivering effective climate services.
- While a number of knowledge-sharing activities were cancelled due to the COVID-19 pandemic, PPCR organized several virtual knowledge-sharing events under its Knowledge for Resilience (KfR) Series. The first webinar, [Climate Services for Good Health: Supporting Climate-Resilient Health Care](#) took place on June 24, 2020 and was co-organized by CIF and the World Bank PPCR team. The second webinar, [Building Adaptive Capacity in the Water Sector under a Changing Climate](#), took place on October 7, 2020 and was co-organized by CIF and the Inter-American Development Bank (IDB) Group. It highlighted the methodology and results of a recently launched [study](#) commissioned by the CIF's E&L Initiative pertaining to the application of a robust methodological framework to assess adaptive capacity in the Bolivian water governance system
- As part of its KfR series work, CIF released a knowledge product, "[Strengthening Weather and Climate Information Services for Resilience: Highlights from PPCR-supported projects](#)." It draws on the operational experiences of PPCR in supporting partner countries to build their capacity in delivering and using weather and climate information services—essential to pursuing a climate-resilient development pathway.
- MDB knowledge products and activities for PPCR during this reporting period, include the AfDB's Mozambique Country Office launch of a digital brochure series highlighting two PPCR projects: the [Baixo Limpopo Irrigation and Climate Resilience Project](#) and the [Sustainable Land and Water Resources Management Project](#).

- In November 2019, the CIF E&L Initiative and the Zambian Ministry of National Development Planning (MNDP) co-hosted a high-level workshop entitled [“Resilience Ready: Zambia’s Vision 2030”](#) to share results and lessons from the implementation of PPCR as evidenced in the CIF’s transformational change studies.

## 18. Gender

- There was an increase in quality of both SPCRs and project portfolio from the June 2014 baseline across all three scorecard indicator areas: presence of sector-specific gender analysis, women-targeted activities, and sex-disaggregated monitoring indicators.
- In June 2020, the CIF Gender Action Plan Phase 3 was approved by the Joint Meeting of the CTF and SCF Trust Fund Committees for implementation from FY21–FY24. Preparatory steps for development of the Phase 3 Plan included a February 2020 meeting held in London at the headquarters of the European Bank for Reconstruction and Development (EBRD), attended by the CIF Gender Working Group of MDB representatives, the CIF Administrative Unit gender team, and invited guest speakers from the International Institute for Environment and Development (IIED).
- In November 2019, in collaboration with the World Bank, EBRD, and AfDB, CIF organized two separate panels at the inter-MDB [Global Gender Summit](#) held in Kigali, Rwanda: one focused on CIF’s investments in renewable energy and resilience, and the other including a CIF-financed project as part of a discussion on gender and sustainable landscapes.

19. Risk Management: PPCR’s implementation risk score remains **High**. Ten projects representing USD 149 million of PPCR funds were flagged for implementation risk in the current reporting period as compared to 10 projects representing USD 134 million reported in the last reporting cycle. The PPCR’s implementation risk score has been High in every reporting cycle during the last three years.

## 5 Results

20. The PPCR results reporting corresponds to the time period from January 1 to December 31, 2019 and covers data from 65 MDB-approved projects, including eleven fully completed projects in 17 countries and two regions. Due to the challenging situation on the ground caused by the COVID-19 pandemic and the resulting difficulties countries have faced attempting to conduct annual scoring workshops and data validation among stakeholders, results monitoring and reporting for this reporting period is limited only to project-level reporting by the MDBs. Country-level reporting is expected to continue in the next reporting period, or as soon as conditions allow.
21. Despite the COVID-19 pandemic causing disruptions in MDBs’ project implementation and delivery, PPCR has made substantial progress toward its program goals. Cumulative results achieved by December 31, 2019 include the following:
- Integration of climate change into 635 national, sectoral, and local/community development plans, representing 93 percent of the cumulative target of 681 plans. From RY2018 to RY2019, 209 new plans integrated climate change considerations, representing a 49 percent increase.
  - Training on climate-related topics provided to 228,901 people (112 percent of 203,641 people targeted through 37 MDB-approved projects in 18 countries and two regions). Between RY2018 and RY2019, 155,743 people received training targeting both government and non-government beneficiaries, such as CSOs, small business owners, and entrepreneurs. Topics included drainage

and wastewater management, forestry management, bioengineering, soil and water conservation, and gender mainstreaming in adaptation.

- 609 knowledge products, studies, or platforms have been produced (almost 89 percent of the cumulative target of 682) to support in-country capacity development efforts. This includes 61 new knowledge products developed between RY2018 and RY2019.
- 2,239 hydromet and climate services (HCS) stations (agromet stations, hydrological stations, and meteorological stations) have been built or rendered functional out of the 2,443 targeted in seven countries (91 percent progress). Between RY2018 and RY2019, 1,907 HCS stations were installed or rendered functional.
- More than 177,018 hectares (ha) of degraded land (95 percent of the 185,379 ha targeted) have been restored through sustainable land and water management practices. Between RY2018 and RY2019, more than 20,276 ha were brought under more sustainable practice.
- 2,088 km of climate improved roads have been constructed or rehabilitated (71 percent of 2,920 km targeted). Between RY2018 and RY2019, 973 km of resilient roads were added representing an increase of 87%.
- More than 5,489 climate-smart, small-scale structures (schools, hospitals, and disaster shelters) have been constructed (38 percent of the 14,525 structures targeted). Between RY2018 and RY2019, 3,905 additional climate-smart, small-scale infrastructure units were made available to beneficiary communities.
- Five climate adaptation financing facilities have been created, supporting 6,340 households and businesses (70 percent of the 9,072 households and businesses targeted). Between RY2018 and RY2019, 2730 households and businesses have been supported by these facilities.