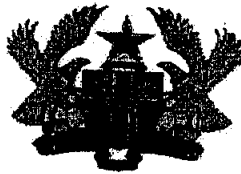


In case of reply the  
number and date of this  
letter should be quoted

Our Ref. No. NREG/MOFEP  
Your Ref.  
Tel No



REPUBLIC OF GHANA

MINISTRY OF FINANCE &  
ECONOMIC PLANNING  
P. O. BOX M 40  
ACCRA

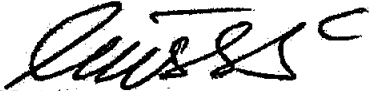
17<sup>th</sup> AUGUST, 2011

**FINAL AIDE MEMOIRE- FOREST INVESTMENT PROGRAMME (FIP)  
JOINT MISSION, MAY 30 –JUNE 8, 2011**

The Government of Ghana was selected as a pilot country for the Forest Investment Programme (FIP) under the Climate Investment Fund in March 2010. The FIP is a targeted programme under the Strategic Climate Fund (SCF) to finance efforts to address the underlying causes of deforestation and forest degradation and to overcome barriers that have hindered past efforts to do so.

2. To move the FIP process forward, a Multilateral Development Bank (MDB) Scoping Mission led by the African Development Bank (AfDB) visited Accra, Ghana, from September 27 to October 1, 2010. This was followed by a Joint Mission, May 30<sup>th</sup> – 8<sup>th</sup> June, 2011.
3. The Joint Mission was composed of the African Development Bank (AfDB), the World Bank, Government and representatives of Civil Society Groups.
4. The Joint Mission was to assist Government in developing the FIP Investment Strategy, through broad-based consultations, dialogue with key stakeholders, and analysis of key development plans, policies and strategies. The Mission ended on the 8<sup>th</sup> June, 2011. The Team has since submitted a final aide- memoire to the Ministry of Finance and Economic Planning.
5. Per the arrangements agreed upon by the Joint Mission Team the GOG and the MDBs should approve the final FIP Joint Mission Aide Memoire by signing it.
6. The following key next steps were agreed on at the end of the Mission:
  - iv. focused group consultation with key stakeholders including Government, civil society, private sector and Development Partners by mid-July;
  - v. a draft Investment Plan that is suitable for stakeholder consultation by end of July; and
  - vi. a Final Investment Plan, taking into account stakeholder feedback by end August.
7. I am directed to forward the attached Aide Memoire for the signature the African Development Bank Resident Representative.
8. Accept the assurances of our highest consideration.

**THE RESIDENT REPRESENTATIVE  
AFRICAN DEVELOPMENT BANK**

  
**ENOCH H. COBBINAH  
CHIEF DIRECTOR  
FOR : MINISTER.**

CC: Hon. Minister, MoFEP  
Hon. Deputy Ministers, MoFEP  
Director, ERFD, MOFEP

**Republic of Ghana**  
**Forest Investment Program (FIP)**

**Joint Mission**  
**May 30 to June 8, 2011**  
**Aide Memoire**

**I. Introduction**

1. A Joint Mission between the Government of Ghana and the Multilateral Development Banks (MDBs) took place from May 30 to June 8 2011 in Accra. The Mission was led by a team from the Government of Ghana represented by the Ministry of Lands and Natural Resources (MLNR) and Ministry of Finance and Economic Planning (MoFEP), and MDBs represented by, The African Development Bank (AfDB), International Finance Corporation (IFC) and The World Bank.
2. The Government of Ghana's team consisted of Mr. Musah Abu Juam (Technical Director, MLNR), Mr. Franklin Ashiadey (Principal Economist and Coordinator for NREG, MoFEP), Mr. Oppon Sasu (Head Donor relations/projects, Forestry Commission) and Mr. K.A. Tabi (Ministry of Environment Science and Technology).
3. The MDBs team was led by Mr. Albert Mwangi (Senior Forestry Officer, AfDB), and included Mr. Flavio Chaves (Natural Resources Management Specialist, World Bank), Miriam van Gool (Program Manager, IFC) and Dr. Ton van der Zon (First Secretary Environment, Netherlands Embassy). The Mission wishes to thank Honorable Mike Hammah, Minister of Lands and Natural Resources, Honorable Sherry Ayitey, Minister of Environment, Science and Technology and Honorable Dr. Kwabena Duffour, Minister of Finance and Economic Planning, Mrs. Effie Simpson-Ekuban (Ag. Chief Director MoFEP), Mr. Kwabena Oku Afari (Director for Economic Research and Forecasting MoFEP), Prof. Bruce Banoung -Yakubo (Chief Director, MLNR), Mr. G.K. Scott (Chief Director, MEST), Mr. Daniel Amlalo (Ag. Executive Director) and Mr. Samuel Afari (Chief Executive Officer Forestry Commission). The mission also wishes to thank representatives from Civil Society, Development Partners, Traditional Authorities, Local Communities and Private Sector (cf. list of participants in Annex A) who provided invaluable contributions and ideas during the mission's consultative process.

**II. Background**

1. Ghana was selected as a pilot country for the FIP under the Climate Investment Fund in March 2010. FIP will focus on high GHG abatement opportunities at the country level and address country specific key barriers to address REDD+. Having been selected, Ghana will have to demonstrate how FIP resources can significantly reduce emission of GHG or enhance carbon sequestration that would not have occurred otherwise.

2. In preparation for the FIP a Scoping Mission from GoG and representatives of MDBs took place from September 29<sup>th</sup> to October 1<sup>st</sup> 2010. As part of the mission process, consultations were held with representatives from Government of Ghana, Development Partners, Private Sector, Civil Society and Traditional Authorities. Following from the Scoping Mission it was agreed that a country led follow-up Joint Mission for FIP would be arranged for stakeholders.
3. Prior to the Joint Mission a team from GoG, and some DPs and MDBs undertook stocktaking process. This process involved a review of relevant data/information on forestry, natural resources, rural development and climate change. They also had discussions on key FIP issues via video and audio conferences and through initial consultations with relevant stakeholders. Furthermore, the services of a team of consultants from LTS International, Ernst & Young and Dr. Cobbinah (Forest Expert, AfDB) have been engaged to assist in the coordination of the FIP mission and preparation of the investment plan.
4. The objective of the Joint Mission was for the team of consultants, MDBs Team and GoG Team to work with Ghanaian stakeholders through broad-based consultations and analysis of key development plans, policies, and strategies in developing the FIP Investment Plan. The Investment Plan must result in transformational change in the context of FIP by recommending innovative projects/programs that go beyond business-as-usual scenarios.
5. The workshop provided a platform where experts from various fields shared relevant information on the state of Ghana's forest, environment and other related issues with participants. A process of interactive group work was used to gather information on key issues related to deforestation and degradation including the low carbon growth plan for Ghana, community involvement, a private sector planting program, tenure issues, chainsaw milling, mining impacts, governance, and safeguards. As part of the Mission a stakeholder workshop was organized from Monday May 30<sup>th</sup> to Friday June 3<sup>rd</sup> 2011, bringing together a broad spectrum of stakeholders from relevant sectors of the economy. The next stage of the FIP process will include focused consultations for critical stakeholders who were unable to participate (e.g. some government institutions, private sector representatives and traditional authorities). More details on the consultation are available in the road map under section IV.

### III. Overall findings

1. The mission noted the delay in the release of preparation grant for the Investment Plan and requested the Administrative Unit and the lead MDB to expedite the process.
2. **Drivers of deforestation and forest degradation.** The Mission identified unclear tree tenure regime; slash and burn agriculture; unsustainable land use practices; weak law enforcement (illegal logging and forest reserve encroachment); conflicting policies, lack of sustainable alternative sources of energy for domestic consumption; inequitable benefit sharing arrangements and high domestic, regional and international demand for timber as drivers of deforestation.

3. **National ownership and national strategies.** It was agreed that FIP should be consistent with sustainable development principles, and in line with the Ghana Shared Growth Agenda (GSGA) and other key sector strategy and policy documents. It was further agreed that institutional strengthening and capacity development should be supported through the use of country systems. The FIP should encourage the use of Budget Support as proposed by the Ghana Aid Policy and the Accra Agenda for Action. The use of country systems will also ensure compliance with the Public Procurement Act, Financial and Auditing Regulations 1992.
4. **Institutional arrangements.** In addition to using existing structures FIP should promote cross-sectoral collaboration whilst strengthening institutional capacities. The Natural Resources and Environmental Governance (NREG) Technical Coordinating Committee (TCC) has been expanded to include other Government institutions and stakeholders from the Private Sector, and Civil Society Organizations relevant to FIP. This TCC+ will have oversight responsibilities for FIP implementation and monitoring of the FIP process.
5. **Coordination with other sectoral initiatives.** In line with initial arrangements, FIP will work in harmony with other REDD+ processes, for which financing was provided by FCPF. The FIP will consider, amongst others, investment opportunities for selected activities identified in Ghana's REDD Preparation Proposal (R-PP). The FIP will also ensure consistency with NREG and other REDD+ efforts.
6. **Climate change mitigation potential.** Both on-reserve and off-reserve areas were identified as potential FIP investments. Suggestions included restoration and rehabilitation of degraded forest and mangroves; sustainable forest management including certification; improved protection of remaining good forest and Globally Significant Biodiversity Areas (GSBAs) and corridors; promoting agroforestry in cocoa growing areas; promoting relevant agricultural intensification to reduce pressure on forests; introducing efficiency in charcoal production and consumption through improved technology; introducing affordable appropriate alternative sources of energy and promoting high quality sustainable plantation development including provision of incentives for private sector participation and investment.
7. **Inclusive processes.** The FIP process should draw on successful consultative processes such as in the Voluntary Partnership Agreement (VPA) and Community Resource Management Areas (CREMAs). Civil Society can play an advocacy and facilitation role to strengthen local participation, decision-making and monitoring in the process. Partnerships between Government institutions, Traditional Authorities, land owners and forest communities are key in the FIP process and should be fostered in the area of Monitoring, Reporting and Verification (MRV). The Private Sector can also be engaged through Public-Private Partnerships (PPPs).
8. **Consultation.** Between the scoping mission and the joint mission, a variety of stakeholder consultations involving the government, the private sector, NGOs local communities, MDBs, and DPs took place. Representatives of local

communities provided inputs on how to execute the Dedicated Grant Mechanism at meetings held in Accra (November 2010), Bangkok (April 2011), and Washington (May 2011). The Dedicated Grant Mechanism will provide grants to support the participation of local communities in the development and implementation of the investment plan, programs and projects. Key stakeholders from National Institutions, Private Sector, Traditional Authorities, Civil Society, Local Communities and Development Partners were asked to provide details on how to gather information on best approaches for stakeholder engagement throughout the process. The consultation during the Mission consisted of state and non-state actor break-out sessions to get inputs on their roles, capacities and information provision specific to FIP. A plan has been initiated to indicate the way forward and responsibilities for further consultation throughout the process after the Joint Mission (cf. See Annex C).

9. **Risk Assessment and Safeguards.** A Strategic Environmental and Social Assessment (SESA) will ensure that social and environmental due diligence are built into FIP activities, to avoid or mitigate negative social and environmental impacts. Special consideration will be given to livelihoods, rights, cultural heritage, gender, vulnerable groups, and biodiversity. The need to adopt a gender lens in formulating investment plans was noted. These elements cover expected co-benefits from FIP.
10. **Demonstration of impact.** Size and scalability of FIP proposals was generally considered as challenging. Modeling and piloting projects is important as FIP is in an experimental stage. As a result of a mosaic in landscapes and land uses in Ghana, a landscape or regional approach holds potential to address large areas for climate change mitigation. Examples of these included short-rotation plantations for charcoal and fuel wood and out-grower models.
11. **Forest related governance.** The Mission noted that to promote good forest governance; key underlining issues such as land, tree and carbon ownership and access rights should be clarified. Additionally it was noted that more should be done to improve law enforcement, reduce unnecessary political interference and strengthen inter-sectoral collaborations. The mission recommended management effectiveness as a tool to strengthen governance within the FC.
12. **Partnership with private sector.** The Mission identified that the Private Sector as part of the focus on investments in FIP is essential. They can facilitate the process of interfacing technology, research and the market, can provide lesson-learning through demonstration projects and can ensure their work is legally compliant and sustainable through certification. They can present REDD+ projects on reforestation (plantations) or avoided deforestation (planting on degraded lands) and may leverage additional financial resources. The Mission noted that challenges to Private Sector engagement with FIP in Ghana include the current high cost of credit, high transaction costs, lack of sustainable funding, weak capacity to develop proposals that fulfill the required criteria, and challenges in interpreting and keeping up to date with technical information.

- 13. Capacity building and knowledge management.** The mission noted the need for early integrated and consistent learning efforts. Technical service providers should be partnered to provide expertise in, for example, Geographic Information Systems (GIS) and remote sensing, to support evidence based decision-making. The mission agreed that it was necessary to develop a harmonized national land use and land cover classification scheme, and map land use and land cover changes. The mission agreed that human capital should be developed; this includes training and equipping forest guards, forest-dependent communities, traditional authorities and other resource users. Capacity needs were identified in the area of carbon stock assessment. The mission further noted that information sharing and lessons learning are an important component of FIP and information on best practices and lessons learnt needs to be collected, analyzed and presented on a regular basis. This will facilitate scaling up of pilots and mobilization of additional resources.
- 14. Promotion of measureable outcomes and results-based support.** It was recommended that Monitoring, Reporting and Verification (MRV) systems as proposed under REDD+, should be adopted for implementing FIP activities. MRV should be part of the sectoral Monitoring and Evaluation system.

#### **IV. Agreements Reached**

**1. Roles, responsibilities and next steps**

The Road Map for the Ghana FIP is described in Annex B. A draft Investment Plan will be prepared for the end of July 2011. Consultation and views of stakeholders will be sought and necessary revisions made with a view to submitting the Plan to CIF for consideration at the FIP Sub Committee meeting in November 2011.

**2. Consultation strategy**

As described in Paragraph 3 above the NREG TCC+ will provide overall guidance to FIP and Ghana's REDD+ agenda. A Technical Working Group within TCC+ will be set up to focus specifically on FIP and one of its responsibilities will be to guide the consultation process. A list of stakeholders to be consulted during the Investment Plan preparation process is listed in Annex C.

**V. Acknowledgements**

The Mission is grateful to all stakeholders attending the meetings and providing their invaluable inputs and the Ministry of Finance and Economic Planning, the Ministry of Environment, Science and Technology, the Ministry of Lands and Natural Resources, the Forestry Commission, the Minerals Commission and the Royal Netherlands Embassy, the World Bank, the IFC and the African Development Bank for their support and cooperation during the course of undertaking mission activities.

**Signed on behalf of the Government of Ghana**

Hon. Dr. Kwabena Duffuor

*Kwabena Duffuor*  
.....

**Minister of Finance and Economic Planning**

**DR. KWABENA DUFFUOR**

**MIN. OF FIN. & ECON. PLANNING**

**P. O. BOX MB 40**

**ACCRA**

**Signed on behalf of the Government of Ghana -MLNR**

Hon. Mike Hammah

*Mike Hammah*  
.....  
MIN. OF LANDS AND NATURAL RESOURCES  
P. O. BOX MB 42  
ACCRA

**Minister of Lands and Natural Resources**

**Signed on behalf of the African Development Bank**

Mrs. Marie-Laure Akin-Olugbade

*Marie-Laure Akin-Olugbade*  
.....  
*PATRICK ASBOMA*  
*COUNTRY PROG. OFFICER*

**Resident Representative Ghana Country Office**

**Signed on behalf of the Government of Ghana - MEST**

Hon. Sherry Ayittey  
.....  
MIN. OF ENVIRONMENT

**SCIENCE AND TECHNOLOGY**

**ACCRA**

**Minister of Environment, Science and Technology**

## ANNEX A List of Participants

FIP JOINT MISSION WORKSHOP 30<sup>TH</sup> MAY - 6<sup>TH</sup> JUNE, 2011 MIKLIN HOTEL, ACCRA

PARTICIPANT	ORGANISATION/TOWN
ALBERT MWANGI	AFDB
JOE COBBINAH	AFDB
ANDREW ANIMPAH	AKYIKYIRE- WESTERN REGION
ALBERT KATAKO	CARE - ACCRA
MERCY DENKYI	CDF - KUMASI
KWADWO KYEREMANTENG	CERSGIS
FOSTER MENSAH	CERSGIS- ACCRA
AMA K AGYEMANG	CIVIC RESPONSE
RHODA PAYORD	CIVIC RESPONSE
RICHARD NYIRENDA	CIVIC RESPONSE
SAEED ABDUL RAZAK	CIVIC RESPONSE
SAMUEL DOTSE	CLIMATE ACTION -ACCRA
SAMUEL MAWUTOR	CRIC- ACCRA
G. J. ANIM KWAPONG	CRIG- BUNSU
EMMANUEL TACHIE OBENG	EPA- ACCRA
CLARE BROGAN	EU DELEGATION
E. A SACKEY	FAWAG- ACCRA
S. N. BOATENG	FAWAG- ACCRA
WELLINGTON BAIDEN	FAWAG-ACCRA
ALHASSAN ATTA	FC
ELISHA AMPONSAH ASIEDU	FC
KWAKYE AMEYAW	FC
NARA KANTON	FC
ROBERT BAMFO	FC
ROSELINE ADJEI	FC
SAH B. P	FC
SULEMANA ADAMU	FC
JOSEPH ADU MINTAH	FC- ACCRA
OPPON SASU	FC- ACCRA
A. OSEI BONSU	FC- ACCRA
ALEX ASARE	FC- ACCRA
BEN K DONKOR	FC- ACCRA
CHARLES DEI AMOAH	FC- ACCRA
CLETUS K. NATEG	FC-ACCRA - WILDLIFE
CUDJOE AWUDI	FC- ACCRA
DAVID KPILLE	FC- ACCRA
DENNIS OSEI HWERE	FC- ACCRA
DR. RICHARD GYIMAH	FC- ACCRA
EDITH ABONQUAH	FC- ACCRA
HUGH BROWN	FC- ACCRA



ISSAH MAHAMA	FC- ACCRA
JOE APPIAH- GYAPONG	FC- ACCRA
LURI KANTON	FC- ACCRA
RACHAEL YEBOAH	FC- ACCRA
S. D. AFARI	FC- ACCRA
SAMUEL ODEI	FC- ACCRA
YAW KWANYE	FC- ACCRA
CHRIS BEEKO	FC- ACCRA
EDWARD OBIAW	FC- KUMASI
K. AKYEAMPONG BOAKYE	FC- KUMASI
KOFI AFFUM BAFFOE	FC- KUMASI
KWASI DANKAMA QUARM	FIP- ASANKRAGUA
DR. EMMANUEL MARFO	FORIG- KUMASI
DR. ERNEST FOLI	FORIG-KUMASI
DR. STEVEN ADU BREDU	FORIG-KUMASI
PAUL HEL	FORM INTL
KINGSLEY BEKOE ANSAH	FWG-ACCRA
LUCY AMISSAH	GACON- ACCRA
FAUZIYA ABUBAKAR BAYIRE	GHAFTRAM- ACCRA
KOJO EDUFUL	GHAFTRAM -CENTRAL REGION
VANESSA SENA AWADZI	HATOF FOUNDATION
MIRIAM VAN GOOL	IFC - AFRICA
SAADIA BOBTOYA	IUCN
WALE ADELEKE	IUCN- ACCRA
KONLAN K. SAMSO	JICA
NANA ANOKYE II	KUMASI
JON HEUCH	LTSI
PHILLIPA LINCOLN	LTSI
GENE BIRIKORANG	LTSI- ACCRA
RICHARED AFENU	M/C- ACCRA
JERRY AHADJIE	MC
ABENA ANTWI	MEST
RUDOLF KUUZEGH	MEST
DR. NICHOLAS K. IDDI	MEST- ACCRA
K. A.TABI	MEST- ACCRA
JOSEPH ABBEY	MLNR
DANIEL NSOWAH	MLNR- ACCRA
JOHANNES AGORDJO	MLNR- ACCRA
JOSEPH OSIAKWAN	MLNR- ACCRA
MATHEW ABABIO	MLNR- ACCRA
MUSA ABU JUAM	MLNR- ACCRA
WILLIAM TOFFA	MLNR- ACCRA
TABI AGYARKO	MNLR- ACCRA
ELIZABETH AMANQUAH	MoEn
KINSLEY K. AMOAKO	MOFA
DOREEN ASARE	MOFEP- ACCRA
FRANKLIN ASHIADEY	MOFEP- ACCRA

K. B. OKU AFARI	MOFEP- ACCRA
M. BASH ABDUL RAZAK	MOFEP- ACCRA
SHEILA NAAH	MOFEP- ACCRA
REBECCA ASARE	NCRC
CAPT DONKOR	NDPC
KWAME AKOTO DANSO	NDPC
TON VA DER ZON	NETHERLANDS EMB
DOREEN A. YEBOAH	NFFG- KUMASI
NANA KOFI ABOAGYE II	OFFINSO
PETER ZORMELO	TIDD / FC
GODFRED AMANKWAH	TIDD- KUMASI
HENRY G COLEMAN	TIDD- TAKORADI
TONY HILL	TREE AID
K. S. NKETIAH	TROPENBOS- KUMASI
ANGELA KHAMINWA	WB- ACCRA
FLAVIO CHAVES	WORLD BANK
MOSES DUPHEY	WORLD BANK
SUSANNE BOUMA	WORLD BANK
SAMUEL LISSAH	WUR
MUSTAPHA SEIDU	WWF - ACCRA

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## ANNEX B Ghana Forest Investment Program – next steps

In order to start the process of seeking funding for Ghana's Forest Investment Program an Investment Plan will be finalized over the coming months. Consultants from LTS International, Ernst & Young and Dr Cobbinah (AfDB Consultant) have been contracted to assist the lead Ministries with the process.

The draft Plan will build upon stakeholder consultations held in Accra May 30<sup>th</sup> – June 3<sup>rd</sup> and some secondary data including maps, Ghana's Shared Growth and Development Agenda (GSGDA) and other relevant sectoral documents (REDD R-PP, VPA, NREG, NCCP)

The team of consultants in consultation with lead sector Ministries and key stakeholders will identify, prioritize and develop potential projects to be considered for FIP funding. This process will build on the project ideas presented during the Joint Mission. Projects given high priority will need to support sustainable development and meet the published criteria of the Forest Investment Criteria.

The following Outputs are expected:

- i) A preliminary draft Investment Plan by mid June to allow Ghana's representatives at the CIF/FIP meeting in Cape Town to report on progress and consider issues that could benefit from advice from the FIP Secretariat and other selected countries.
- ii) A draft Investment Plan that is suitable for stakeholder consultation by end of July.
- iii) A Final Investment Plan, taking into account stakeholder feedback by end August.

Activities	Deadlines/Milestones	Responsibility
Submission of external joint mission completion report (Aide-Memoire) for posting on CIF website	Within 30 days following completion of the joint mission	MDBs and GoG
Focused group consultation with key stakeholders including  Central government Civil Society Private sector representatives Development Partners Relevant Committee Members	Initial by mid June Further by mid July	Government: government Ministries and committee members.  Consultants: private sector, development partners and civil society
Initial draft Plan	Mid June 2011	Consultants
Feedback from Cape Town	End June 2011	MOFEP & MLNR and MDB's
Draft FIP Investment Plan	End July 2011: Environmental Summit 25-28 July	Consultants
Consultation on Draft Investment Plan	August 2011	Government
Joint FIP mission; internal review and approval of Investment Plan	16 <sup>th</sup> and 17 <sup>th</sup> August 2011	Government and DPs
FIP External Review (by a consultant on the FCPF roster)	5 working days (max 10)	Government, MDBs
Final FIP Investment Plan	End August 2011	Consultants
Posting of Investment Plan on	At least two weeks September	Government – designated FIP

GoG Website for public information and comments	2011,	Focal Point
Formal Submission to CIF Administrative Unit	30 September 2011	Government – designated FIP Focal Point
Posting on CIF Website	At least 4 weeks prior to FIP4 Sub Committee meeting	CIF admin unit
Endorsement by FIP Sub Committee	November 2011	FIP Sub Committee
Issuance of approval letter to the Government (copied to MDBs)	Within 10 days after endorsement by the FIP Sub Committee	CIF Administrative Unit
Completion and submission of an internal post-mission report template to MDB committee (focusing on lessons learnt and use of resources)	Within 30 days after endorsement of the Investment Plan	MDBs

**ANNEX C FIP Consultation Plan**

As the interventions to be supported by FIP are not yet developed, and some may be of a pilot nature dealing with a limited geographic area, identification of the stakeholders who need to be involved and consulted at this stage needs further development.

Thus it is best to start consultations with national stakeholders and those groups who represent or are believed to represent broad constituencies or special interest groups with a significant involvement in actions affecting deforestation and degradation. Awareness raising of the FIP process has to be undertaken, allowing national stakeholders to consult their members and networks. From this, feedback can be sought and potential consensus built.

The workshop held at the Miklin Hotel 30 May – 3 June 2011 is the start of this process. Further groups of stakeholders will be consulted, building upon the communication channels developed for the VPA and the R-PP is recommended, rather than duplicating them. The primary channel of communication will be from and to the TCC+ along with its FIP Technical Working Group.

The consultants' initial plan is to visit key stakeholders in Accra in the first two weeks of June with a view to providing an early draft Investment Plan by mid June 2011. The preparation of a draft will support the identification of key issues such as coordination with the FCPF supported process and other REDD+ activities.

The stakeholders who will be consulted by Consultants or Government for the FIP Investment Plan include:

**Central Government**

- Ministry of Lands and Natural Resources
- Forestry Commission
- Minerals Commission
- Ministry of Finance and Economic Planning
- Ministry of Environment Science and Technology (MEST)
- Environment Protection Agency (EPA)
- Ministry of Local Government and Rural Development
- Ministry of Food and Agriculture
- Ministry of Energy
- Energy Commission
- Ghana Investment Promotion Centre

**Committees and Working Groups**

- (with cross membership this may be restricted to a few key individuals)
- NREG members
- REDD+ Strategy Working Groups
- VPA Working Groups

**Private Sector**

Ghana Timber Association (GTA)  
Ghana Timber Millers Association (GTMO)  
Furniture and Wood Products Association (FAWAG)  
Wood Association of Ghana  
Kuapa Kookoo  
Small Scale Miners Association  
Cocoa Board  
Representatives of/sample from Samartex, Ghana Primewood, John Bitar, Logs & Lumber, Scanstyle  
MIM  
FORM Ghana  
Portal Woods  
GREL (Rubber)  
ECOM  
Armajaro

**Civil Society**

Tropenbos  
Civic Response /Forest Watch  
IUCN Ghana  
CARE Ghana  
HATOF Foundation (?)  
WWF Ghana  
Conservation Alliance  
NCRC  
Solidaridad

Chieftaincy Secretariat/National House of Chiefs

**Research Institutes & Academic Centres**

FORIG  
Cocoa Research  
IITA Sustainable Tree Crops Program  
CERSGIS

**Development Partners**

EU, FAO, Netherlands, UK, US, Switzerland, AFD

Once an initial early draft of the Investment Plan is prepared and feedback obtained following the FIP meeting in Cape Town a more complete document will be prepared ready for public consultation. This document is likely to present options rather than decisions and will allow discussions and consultations to be focused on areas where choices are available. Responsibility for this activity has yet to be determined.

Feedback from consultations will necessitate a Final FIP Plan to be prepared by the consultants. This can then be used for formal consultations via a Government of Ghana website and the CIF website.

For reference purposes Annex D provides relevant guidance from the FIP Design Document on consultation for FIP strategies, programs and projects development

## **Annex D Guidelines for stakeholder involvement from the FIP Design Document**

**Paragraph 16(d) of the FIP Design Document dated 7 July 2009 describes one of the 10 FIP criteria:**

**“d) Inclusive processes and participation of all important stakeholders, including indigenous peoples and local communities. Consistent with relevant international instruments, obligations and domestic laws, FIP investment strategies, programs and projects at the country or regional level should be designed and implemented under a process of public consultation, with full and effective participation of all relevant stakeholders on matters that affect their distinctive rights, including in particular groups that historically have tended to be marginalized such as indigenous peoples, local communities and women;**

**FIP financed activities should, moreover, be consistent with, and/or complement, national sustainable development plans and be based upon broad community support and effective collaboration between indigenous peoples and local communities, government ministries, private sector and financial institutions in planning and implementing investment strategies. FIP should also seek to engage other major stakeholders such as major groups identified by Agenda 21.**

**The guidelines presented in Annex III to this document should be followed to facilitate the full and effective participation of indigenous peoples and local communities likely to be affected by a proposed strategy, program or project in a process of public consultation.”**

**Paragraphs 37-41 also include text relevant to consultation and participation**

**Annex II of the Design Document provides initial guidance and guiding considerations on the matter with a view to developing measurable indicators for the assessment process:**

- **“Demonstrated continuous consultation and participation of a wide range of government and non-government stakeholders, including indigenous peoples and local communities, in the development and implementation of strategies, programs and projects**
- **Consistency with guidelines for consultation in Annex III of the FIP design document”**

**Annex III of the Design Document provides guidelines for Consultation:**

- 1. Consultation with indigenous peoples and local communities likely to be affected by proposed investment strategies, programs and projects should occur freely and voluntarily, without any external manipulation, interference, or coercion.**
- 2. The consultation process should start early and with adequate lead time since decision-making among indigenous peoples and local communities is often an iterative process, and sufficient time is needed to fully understand and incorporate concerns and recommendations of indigenous peoples and local communities in the design of consultation processes, strategies, programs and projects.**



3. The indigenous peoples and local communities likely to be affected should have prior access to available information on the intent, design, and scope of the proposed strategy, program or project (including relevant options). Such information should be available and presented in a culturally appropriate and accessible manner, form, and language.

4. Consultation approaches with indigenous peoples and local communities should: recognize their own existing processes, organizations and institutions, including, inter alia, councils of elders, headmen, and tribal leaders, as well as indigenous people's organizations and institutions; ensure broad community participation; and pay special attention to women, youth, and the elderly.

5. There should be a record of the consultation process and a report on the outcome of the consultation that are publicly disclosed in a culturally appropriate manner, form and language.

6. A consensus reflecting broad community support for the investment strategy, program or project should emerge from the consultations before the strategy, program or project moves forward.

7. In the case of indigenous peoples, such consensus should include support from the community as expressed by their leader(s). In addition, for both indigenous peoples and local communities, the strategy, program or project should not proceed without acquiring broad community support, as set forth in these FIP guidelines.

8. Conclusions and recommendations arising from the consultation should be integrated in the design and implementation of the investment strategy, program or project.

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