

**Scoping Mission to Support the Preparation of the Strategic Program for Climate Resilience in the Philippines: Pilot Program for Climate Resilience
Terms of Reference**

Background

1. The Government of the Philippines (GOP) submitted an Expression of Interest (EOI) to participate in the ***Pilot Program for Climate Resilience (PPCR)*** under the Climate Investment Funds (CIF) in March 2015. Based on the GOP's interest and the CIF's selection process, the Philippines was selected as a new pilot country in this round of the PPCR program. The aim of the PPCR is to pilot and demonstrate the integration of climate risks and resilience into the development planning of the country while complementing and supporting ongoing initiatives. The PPCR takes on a programmatic approach that includes support to Governments to undertake consultations and develop a ***Strategic Program for Climate Resilience (SPCR)*** in support of the strategic plans nested in national development goals and strategies for use in climate investment programming. The preparation of the SPCR is fully country-led and driven and to be based on extensive stakeholder consultations to come up with a vision, outline country priorities and elaborate a program of investments and activities to achieve the goals and priorities within the broader context of sustainable development, poverty reduction, and climate resilient development path. A grant is made available by the CIF to the Philippines, as a new pilot country, to help the Government develop its SPCR with the support of the Multilateral Development Banks (MDBs) particularly the World Bank as the Agency Lead working in coordination with ADB and the IFC.

2. The Philippines' Department of Environment and Natural Resources (DENR) is the CIF's main government institution to be the focal agency for the PPCR. During the PPCR Pilot Countries Meeting in Italy last July 2015, introductory meetings between the GOP and MDBs were held with the GOP represented by Director Edwin Domingo of the DENR.

3. Recognizing climate risks as one of the major challenges to the country's quest for poverty reduction and inclusive growth, the Government through the President's Social Contract with the Filipino People identified climate change adaptation and mitigation as one of its five Key Results Areas (KRAs). The KRAs are also aligned with the Philippine Development Plan (PDP) for 2011-2016. The Cabinet Cluster on Climate Change Adaptation and Mitigation (CCAM) was created to strengthen coordination across National Government Agencies in responding to its mandates under the climate change KRA. The CCAM cabinet cluster consists of 12 National Government Agencies (NGAs) chaired by the DENR and with the Climate Change Commission (CCC) providing secretariat support. For the 2015 and 2016 budgets, the cabinet cluster formulated the ***Risk Resiliency Program (RRP)*** as the framework program to assist the GOP to deliver the outcomes for KRA5 particularly on strengthening the resiliency of natural ecosystems and the adaptive capacity of vulnerable groups and communities to short- and long-term risks with

focus on a landscape approach through the major river basins. The RRP is structured around the sector outcomes of the PDP and with the following pillars: (i) enhanced resiliency of natural systems; (ii) improved environmental quality for cleaner and healthier environment, and (iii) improved adaptive capacity of communities.

4. To support the formulation of the RRP, the Department of Budget and Management (DBM) has introduced the Program Convergence Budgeting (PCB), a convergence approach to planning and budgeting of the agencies belonging to the cabinet cluster. This has allowed RRP to foster convergence across government agencies during budget preparation and ensuring their Programs, Activities, and Projects (PAPs) are focused on addressing climate and other risks and along priority areas and landscapes such as major river basins¹.

5. The Government recognizes the RRP as a budgeting and planning framework to which the implementation of climate response can be based. In carrying out the set of climate responses however, the RRP will have to be supported with a more operational and strategic investment and implementation framework that takes into consideration the convergence among institutions, embedding of climate change into development plans, and partnership with local stakeholders. The DENR, having led the Cabinet Cluster and the formulation of the RRP, has taken keen interest and leadership to develop this framework, provisionally called ***Risk Resiliency and Sustainability Program (RRSP)***, starting with its own PAPs and in consideration of convergence with the climate actions of other agencies. The DENR is organizing the development process of the RRSP, building from the experiences gained with the CCAM and in the formulation of the RRP, that is envisaged to strengthen convergence, promote local partnerships, and provide necessary focus on resilience in key landscapes.

6. The CCAM is proposed to be the national level steering committee that will provide the strategic guidance and in-country coordinating mechanism to assist in the development of the SPCR. To support DENR as the GOP focal agency for the PPCR and the CCAM, the DENR has created a core team composed of Bureau and Service Directors, Assistant Secretaries and Chaired by the Department's Undersecretary.

7. A joint mission is being prepared in the Philippines together with WB, ADB, and other development partners to initiate the process for developing the SPCR. This document outlines the objective, scope and outputs for the proposed mission tentatively scheduled on November 23 to 27, 2015. A tentative mission schedule is attached as **Annex 1**.

Mission Objective

8. The general objective of this joint mission is to assist the Philippines, as a new pilot country, in putting in place a clear process for developing the country's Strategic Program for Climate Resilience, as a first step in its participation to the PPCR. The mission is expected to

¹ For the budget request for Fiscal Year 2016, the agencies belonging to the Cabinet Cluster requested PhP 97.9 billion for 84 PAPs through the RRP.

support the preparation of a proposal by the Government identifying the activities, processes, and institutional arrangements towards developing the country's SPCR. The design of the joint mission notes the following key principles: (i) reflects country-driven process such that the mission is led and coordinated by the GOP through the DENR and CCAM; (ii) provides broad participation by the stakeholders to promote ownership and inclusiveness, and (iii) steer the development of country-specific climate adaptation and resilience goals, integration of climate resilience in the national processes, and formulation of investment and implementation programs.

9. Specific objectives of the joint mission include:

- (i) Introduce the PPCR and the SPCR process and lay the foundation for an informed stakeholder engagement towards enhancing climate adaptation and resilience of the country;
- (ii) Discuss and review proposed priorities and key issues as outlined in the background notes being prepared by the Government to ensure sound understanding of the context and outcomes, linkages with the ongoing initiatives, alignment with national development plans and sectoral strategies;
- (iii) Confirm and provide additional inputs to the process of developing the strategic investment and implementation framework of the GOP;
- (iv) Confirm the stakeholders and the engagement requirements in the preparation of the SPCR including communication and consultation processes and expected outputs;
- (v) Discuss and confirm the implementation arrangements including work plan, processes and activities, roles of MDBs and other institutions, indicative timetable and institutional arrangements necessary for preparing the SPCR through the funding provided by the CIF.

Scope of Work

10. The mission will be led and coordinated by the DENR and will undertake the following activities:

Preparatory work

Prior to the mission, the Government will undertake preliminary consultations with MDBs, relevant agencies, CSOs and other relevant agencies to introduce the PPCR program and SPCR process. This will generate initial feedback that could be addressed in the consultation sessions during the mission. To facilitate the discussions, the Government will conduct initial stocktaking of ongoing and planned initiatives related to climate resilience of various agencies and institutions and sources of funding and identify initially the stakeholders for the preparation of the SPCR. As part of the preliminary work, the Government will consolidate key documents and other background materials that may be used as reference for the SPCR preparation. The Government has also made initial contact with the agencies and stakeholders to confirm the mission agenda.

Mission proper

- (i) Conduct of series of broad-based consultations with identified stakeholders on the process to develop the SPCR (A list of stakeholders identified for the consultations is attached in **Annex 2**). This will allow the GOP to generate and collect range of views on framework design elements, implementation strategies, and additional consultations. *The consultation will set in place the process to further understand, analyze, and consult the SPCR.* The consultations aim to promote participation, ensure leadership structure is in place and establish the priority actions to enhance the enabling environment to reduce vulnerabilities. To accomplish this, the consultations will center on the five discussion themes described below.
 - a. ***Technical, economic, social, and sustainability rationale for setting the SPCR*** – Building on the Government’s current Risk Resiliency Program and other related experience, the discussion will allow greater understanding on the extent of mainstreaming of climate resiliency into the Government’s programs, activities, and projects (PAPs) and the related technical, socio-economic, information and sustainability limitations. This discussion will seek to establish the refinements needed to make the PAPs more responsive to addressing climate change risks, the cost of such refinements, and opportunities that can be tapped to make this happen. This will establish the focus for assessing country context, opportunities and priorities for climate adaptation and resilience including baseline information relevant to the preparation of SPCR.
 - b. ***Scope and content*** – This discussion will provide details on the type and scale of investments that maybe considered in the SPCR including the technical criteria for eligible investments. The discussion will also establish the alignment of plans to promote convergence, specifically the RRP and local development plans.
 - c. ***Delivery processes and modalities*** – This discussion will focus on the implementation framework as outlined in the Government’s overall approach including the necessary elements for executing convergence such institutional capacity strengthening for CCAM and stakeholders, incentives framework, and enabling policies and instruments that promote climate resilience mainstreaming and the necessary elements needed to make the horizontal and vertical convergence – across the agencies and with the local governments. This will also enhance the understanding of the current institutional arrangements, coordination mechanisms and policies for addressing climate resilience including various development partner efforts, funding mechanisms and their status.
 - d. ***Monitoring and evaluation*** – This discussion will assist the Government in shaping a feedback loop into the program and strengthen the program’s results framework. This discussion will identify what could be considered as indicators of resilience vis-à-vis the types of investments that may be supported and the capacity of institutions

to collect and consolidate the data. The discussion will also take stock of potential safeguards considerations including grievance redress mechanism for the program.

- e. **Stakeholder engagement** – The discussion will identify the engagement and communication requirements of the program. Specifically the discussion will seek to outline the consultation and communication processes to build the consensus on the SPCR.
- (ii) Undertake series of smaller consultations with MDBs and key agencies, including the Climate Change Commission (CCC) and oversight agencies, as well as development partners to develop a plan specific to organizing the activities that need to be undertaken. Specifically, the consultations will focus on the work program and to gain general agreements on the following:
- a. Agree on the tasks and timeline for developing the SPCR process for the Philippines;
 - b. Finalize the scope of activities and TOR for the available funding, and
 - c. Identify the respective roles of the DENR, other institutions and MDBs and process of collaboration with other partners during the development of the SPCR.

Coordination with stakeholders and other development partners

The DENR will take the lead in the coordination with identified development partners, CSOs, and other stakeholders. Where necessary, the lead MDB will support the coordination activities including the necessary logistical and administrative support during the mission.

Mission Outputs

11. The joint (SHOULD READ SCOPING) mission will have the following as outputs:
- (i) An aide memoire highlighting the findings of the mission and agreements reached for the preparation of the SPCR. The findings will highlight the institutional arrangements between and among the DENR, the CCAM, other stakeholders, development partners, who will contribute to the preparation of the SPCR. The summary of the initial diagnoses and gaps assessment will be included as part of the technical annexes of the document.
 - (ii) The technical work plan for utilizing the CIF grant to support the formulation of the SPCR for the Philippines with details of the activities, timeline, roles and responsibilities, and budget for supporting these activities.
 - (iii) Identify analytical/technical work that will need to be undertaken and commissioned to support preparation of the SPCR.
12. The mission findings will be presented by the Government in a wrap-up session with the

mission members and other stakeholders. Part of the discussion will be the highlights of the consultations, draft proposal, and the immediate next steps in preparing the SPCR.

Process

13. To achieve the mission outcomes, the DENR will coordinate and lead the mission with support and in coordination with MDBs. Mission composition of the MDBs is attached in **Annex 3**. The activities of the mission will focus on discussions with a wide range of stakeholders, which will include the Climate Change Commission, Department of Public Works and Highways, Department of Agriculture, Department of Energy, Department of Science and Technology, National Economic Development Authority, Department of Budget and Management, Department of the Interior and Local Government and key development partners. In order to get a wider view of climate change issues and initiatives among various other stakeholders, a multi-sectoral stakeholder consultative workshop will also be organized during the mission and will include participants from government agencies, non-governmental organizations (NGOs), research institutions, private sectors, local governments and relevant development partners. A country brief, containing summaries of the climate vulnerabilities of the Philippines, planning process and institutional arrangement and financing, past and ongoing activities related to climate resilience and the government priorities, is attached in **Annex 4**.

Annex 1
Provisional Agenda for the Joint Scoping Meeting

Joint Scoping Mission for the Strategic Program for Climate Resilience in the Philippines: Pilot Program for Climate Resilience, 23-27 November 2015

Date/Time	Venue/Time	Activity/Agenda	Participants	Brief Description
Mission Activities				
Day 1, November 23, Monday				
Morning	EMB Conference Room A/ 10:00AM	Mission Team meeting	DENR, WB, ADB, CCC, CIF	Leveling-off, mission agenda and outputs
Afternoon	EMB Conference Room A/ 1:30PM	Kick –off meeting	DENR Secretary; DENR Leadership; RRSP Interim Focus Group; Mission Team	Introduction of PPCR, mission objectives and expected outputs, feedback
Day 2, November 24, Tuesday				
Morning	EMB Conference Room C/ 9:30AM	Oversight Agency meeting	CCC, DBM, DOF (IFG,MDFO), NEDA, Mission Team	Introduction of PPCR; Discussion on climate change response, convergence, RRP
Afternoon	EMB Conference Room C/ 1:30 PM	Cabinet Cluster/ Government	DILG, PAGASA, DA, DPWH, DAR, DOE, DOST, DSWD, CCAM, CCC, Mission Team	Introduction of PPCR; Discussion on RRP, convergence framework
Day 3, November 25, Wednesday				
Morning	TBA/9:30AM	CSOs/ Academe	OML Center, ICSC, Manila Observatory, WWF, SEARCA, ICRAF, FPE, Ateneo de Manila/Davao, UPLB, Xavier (CDO), SU, CCA, AF , CODE-NGO , NASSA , PRRM , ANGO , PHILRADS, Mission Team	Introduction of PPCR; Discussion on climate change response, convergence framework, stakeholder engagement
Afternoon	TBA/ 1:30PM	Private Sector/Other stakeholders	Chamber of Commerce and Industry, Philippine Business for Social Progress, PG Ilocos Norte/Rizal/Albay/Bohol/ Davao del Norte, LCF NCC , CCC Mission Team	Introduction of PPCR; Discussion on climate change response, convergence framework, stakeholder engagement
Day 4, November 26, Thursday				
Morning	EMB Conference Rm C/9:30AM	Development Partners	UNDP, GIZ, EU, AFD, GGGI, USAID, AUSAID, KOIKA	Introduction of PPCR; Discussion on climate change response, convergence framework
Afternoon	EMB Conference Rm C/1:30PM	Action Planning Mission Team Wrap-up	DENR, WB, ADB, CCC, CIF Mission Team	Action planning workshop
Day 5, November 27, Friday				
Afternoon	EMB Conference Rm A/1:30PM	Wrap-up meeting	DENR Secretary; DENR Leadership; RRSP Interim Focus Group; Mission Team	Discussion of mission findings and next steps
END				