Climate Investment Funds

CTF-SCF/TFC.8/Inf.5
April 27, 2012

Joint Meeting of the CTF and SCF Trust Fund Committees
Washington, D.C.
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**PROGRESS REPORT ON CIF COMPLIANCE WITH THE
INTERNATIONAL AID TRANSPARENCY INITIATIVE (IATI)**

I.   INTRODUCTION

1.   At its joint meeting on November 2011, the CTF and SCF Trust Fund Committees approved document CTF-SCF/TFC.7/4, *Measures to Improve the Operations of the Climate Investment Funds*, and requested the CIF Administrative Unit collaborate with the MDB Committee to ensure that the approved measures and actions were carried out. This report has been prepared specifically in response to Paragraph 44 of the *Measures* document, which asked that the CIF Administrative Unit explore whether the CIF are currently in compliance with the International Aid Transparency Initiative (IATI) and report back to the Trust Fund Committees at their joint meeting in May 2012. The Administrative Unit was also requested to inform the Committees of any additional policies that may be necessary to ensure compliance.

2.   This progress report proceeds in three parts: Section II summarizes the requirements of IATI, Section III provides an overview of current CIF standards and policies, and Section IV identifies actions to be taken in order to ensure CIF compliance with IATI.

II.   THE IATI STANDARD

3.   The International Aid Transparency Initiative (IATI), launched in 2008 under the auspices of the High Level Forum on Aid Effectiveness in Accra, aims to improve the public availability and accessibility of information on aid flows through the use of common standards for sharing information about aid. It constitutes an agreed mechanism for how data will be published and made available.

4.   The CIF recognizes the fundamental importance of transparency and openness both in its governance and financing operations, and aims to meet the highest international standards in this regard.

5.   Compliance with the IATI standard would require that the CIF publish its information according to common standards, allowing stakeholders to access, use, and compare data on CIF activities, and to reconcile that data with information from other sources. The IATI standard includes a list of the types of data to be published, along with a technical schema and collection of code lists for representing that information as structured open data.

6.   Compliance with the IATI Standard requires that an organization sign the IATI Accra Statement and commit to participate in shaping the details of the initiative. The signatory is also required to sign IATI's Framework for Implementation, which commits the organization to developing a plan for putting the required standards into place.

7.   After committing to the standard and developing an implementation plan, the organization must begin publishing its fund information in the agreed IATI format on its website.

The location of its information is recorded in a central IATI Registry, which acts as a catalogue for users wishing to access information about the organization.

III. CIF PROJECT INFORMATION MANAGEMENT

8.   Currently, the relevant CIF project data is being captured and recorded, but it is not recorded in IATI-compliant formats. The CIF Administrative Unit maintains an excel-based project management information system. Information is gathered from the investment plans and updated on a regular basis through quarterly submissions of data by the MDBs and resource updates from the Trustee. Data ranges from contributions of funds to funding distribution by country, technology, MDB and sector type. The system also captures the project cycle by incorporating the tracking of approval milestones. At the moment, the information contained in the system is being shared with MDBs and other stakeholders through excel reports.

IV. FOLLOW UP ACTIONS TO ENSURE COMPLIANCE WITH THE IATI STANDARD

9.   The are several key structural aspects of the CIF that need to be considered if the CIF are to comply with the IATI standard:

a)   The CIF Administrative Unit will have to follow the World Bank's schedule for IATI compliance for non-IBRD/IDA flows because the CIFs are not a separate legal entity.

b)   As a "network organization", the CIF Administrative Unit is reliant upon the data collection and reporting of its implementing agencies, which have their own internal policies. The CIF Administrative Unit's role is to synthesize, organize, and report on the data provided. As a result, while it can assist in presenting its supplied data according to IATI standards, the CIF Administrative Unit cannot pursue formal IATI compliance on its own (i.e., it cannot become a IATI signatory).

c)   Prior to compliance, technical aspects of implementation must be coordinated with the Trustee.

10.   As the CIF Administrative Unit relies on its MDB partners to supply data, their compliance with IATI would facilitate the compliance of the CIF with the IATI standard. Currently, the African Development Bank, Asian Development Bank, Inter-American Development Bank, and the World Bank are signatories to IATI. The International Finance Corporation has initiated the process to become a signatory. The European Bank for Reconstruction and Development has not indicated any plans to do so, but has expressed a commitment to disclosure and transparency. The only agency that has implemented IATI is the World Bank. The other MDB signatories are preparing their implementation plans, but have not yet begun implementation.

11.   As the World Bank works towards achieving full trust fund compliance, the CIF Administration Unit will continue to explore IATI's technical architecture and collaborate with the Trustee and MDBs to develop the capacity for the CIF to report using IATI standards. In the next year, the Trustee will integrate the IATI requirements into its IT Systems Development Project for the information made available to the Trustee by the MDBs. See Annex 1 which is provided by the Trustee to show the feasibility of the types of data that could be made available by the CIFs in the future.

IATI Standard Version 1
17 February 2011 (updated Oct 2011 to remove phases)

This note summarizes agreement on Version 1 of the IATI Standard by IATI signatories and Steering Committee members at meetings in July and October 2010 and February 2011. Full details of the Standard are available at http://iatistandard.org. The Standard is a "living standard" that will be subject to modifications in the light of implementation experience.

A. Organization

Note: Detailed items, definitions, formats and code lists can be found at
http://iatistandard.org/standard/organisation

Information Area
Annual forward planning budget data for agency
Annual forward planning budget data for funded institutions
Annual forward planning budget data for countries
Organization documents (Phase 1 those already published; Phase 2 other documents)

B. Activities

Note: Detailed items, definitions, formats and code lists can be found at
http://iatistandard.org/standard/activities

Phase 1

Information Area
Reporting Organization: CIF Administrative Unit
Participating Organization (Funding): **Climate Investment Funds**
Participating Organization (Extending): **CIF Donors**
Participating Organization (Accountable): **6 MDBs [Governments/Private Sector Entities]**
Participating Organization (Implementing): **6 MDBs**
Recipient Country: CIF Country Recipients
Recipient Region: CIF Regions
Collaboration Type: All multilateral
Default Flow Type: All ODA
Default Aid Type: Pooled Funds
Default Finance Type: [grants, loans, guarantees, etc.]

Information Area
IATI activity identifier: This is feasible as long as it refers to project ID
Other activity identifiers: This is feasible
Activity Title (Agency language): **Official Project Title**
Activity Title (Recipient language): **Not Applicable**
Activity Description (Agency language): **Summarized Project Objective**
Activity Description (Recipient language): **Not Applicable**
Sector (CRS): **This is feasible as long as it is compiled by the CIF participating organizations**
Sector (donor specific): **Not Applicable**
(Under development) Recipient Country Budget Identifier: Not Applicable
Activity Dates (Start Date): **This is feasible; it represents the expected date of first disbursement for the activity**
Activity Dates (End Date): **This is feasible; it represents the expected date of final disbursement for the activity**
Default Tied Aid Status: Not applicable to the CIF; There is no tied aid for MDBs
Policy / Thematic Markers: This is feasible as long as it is compiled by the CIF participating organizations
Activity Status: This is feasible
Activity Contacts: This is feasible
Activity Web Site: This is feasible
Related Activity: This is feasible
Financial transaction (Commitment) **: This is feasible as long as it is compiled by the CIF participating organizations**
Financial transaction (Disbursement & Expenditure) **: This is feasible**
Financial transaction (Incoming Funds) **: This is feasible**
Financial transaction (Loan repayment / interest repayment) **: This is feasible**
Financial transaction (Reimbursement) **: This is feasible**
Activity Budgets: This is feasible
Planned Disbursements: This is feasible
Activity Documents (Phase 1 those already published; Phase 2 other documents): **This is feasible (with exceptions)**
Conditions attached (Yes/No): **This is feasible**
Text of Conditions: This is feasible
Results Data*: This is feasible

Information Area
Sub-national Geographic Location: **This is feasible**

The suggested format for results data is a model to allow those that already publish results as data to do so in an internationally comparable format, should they so wish. Should future international agreements elsewhere on results data supersede the suggested data format, this will be updated accordingly.