

Joint Meeting of the CTF and SCF Trust Fund Committees

Brasilia, Brazil (Hybrid)

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EVALUATION AND LEARNING INITIATIVE: FY23 ANNUAL REPORT AND FY24 WORK PLAN



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Joint CTF-SCF/TFC.28/05 June 07, 2023

PROPOSED DECISION

The joint meeting of the CTF and SCF Trust Fund Committees

- (i) Reviewed the document Joint CTF-SCF/TFC.28/05, Evaluation and Learning Initiative FY23 Annual Report and FY24 Work Plan, welcomes the progress on implementing the Evaluation and Learning (E&L) Initiative in FY23, and approves its plans for FY24. The joint meeting provided feedback and comments on the document, which will be incorporated when the E&L FY24 Work Plan is implemented.
- (ii) Acknowledges its previous decisions to approve USD 20 million for the E&L FY23 27
 Business Plan, including an allocation of USD 4 million for FY24 activities.

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1 Summary

- This document provides (a) an annual report on the activities of the Climate Investment Funds' (CIF) Evaluation and Learning Initiative (referred to as "E&L Initiative" or "the Initiative" in what follows) from July 1, 2022 to June 30, 2023 (FY23) and (b) a workplan and budget from July 1, 2023 to June 30, 2024 (FY24).
- Background on the annual report: In June 2022, the joint meeting of the Clean Technology Fund and Strategic Climate Fund (CTF-SCF) Trust Fund Committee (referred to as "TFC" in what follows) approved a five-year <u>E&L FY23–27 Business Plan</u>. The annual report in this document summarizes activities delivered in the first year (FY23) of that plan.
- 3. In FY23, the E&L Initiative's activities highlight how practical, evidence-based learning delivered through targeted approaches help advance climate action. The Initiative made substantial progress on the implementation of activities:
 - Publication of 20 new studies and summary briefs; implementation of 16 virtual, hybrid, and/or in-person events; participation in 18 external events; launch of a new online and interactive toolbox; and progress on several thematic toolkits.
 - Key FY23 publications included the independent <u>Evaluation of the Development Impacts</u> <u>from CIF's Investments</u> along with associated briefs and workshops focused on recommendations from the evaluation; a case study on <u>Supporting Just Transitions to a</u> <u>Sustainable Water Sector in Bolivia</u>, and <u>a working paper on transformational climate</u> <u>finance</u>.
 - In addition, the mid-term evaluation of the Forest Investment Program (FIP) and Dedicated Grant Mechanism (DGM) was launched and is expected to be completed in FY24.
 - A new <u>Just Transition Toolbox</u> that provides practical guidance on how to plan for and implement just transitions in multiple sectors was launched. Associated with the toolbox, six new, just transition analytical projects are now being funded through the E&L Initiative and implemented through an MDB-call for proposals.
 - Knowledge generated through the Just Transition Initiative and the Transformational Change Learning Partnership was used to support the Just Energy Transition Investment Plan (JET-IP) process in South Africa. Direct contributions into the investment plan, as well as engagement with the evaluation community in South Africa, have also shaped the inclusion of new just transition guidelines approved by the country's Department of Planning, Monitoring and Evaluation.
 - The Transformational Change Learning Partnership (TCLP) developed a new two-year strategy with a focus on practical application. The importance and impact of evaluative approaches throughout program and project lifecycles is being enhanced through the development of guidelines for transforming evaluations. A particular focus on transformational climate finance through webinars, in-person workshops, and publications has supported the broader work on scaling up climate finance within and beyond the CIF.

- The E&L Initiative is developing toolkits for each of CIF's new programs to maximize impact by incorporating dimensions of transformational change, just transition, and development impacts into program and project planning, implementation, and evaluation. These toolkits are called "Maximizing Transformational Impact".
- With the resumption of more in-person activities, there was an enhanced focus on South-South knowledge exchange as a tool for applied learning, with three in-country regional events – in Egypt, Côte d'Ivoire, and Mozambique – to provide a platform for countries to discuss lessons on resilience, forestry, and nature-based solutions.
- The Initiative's partnership with other climate funds was deepened through the start of quarterly collaboration meetings and joint activities with the Green Climate Fund (GCF) Independent Evaluation Unit (IEU), the Global Environment Facility (GEF) Independent Evaluation Office (IEO), and the Technical Evaluation Reference Group of the Adaptation Fund (AF-TERG). A Senior Advisor at the GCF IEU has now also joined the E&L Advisory Group.
- 4. The proposed FY24 work plan will continue to bring the activities started in FY23 to fruition. In addition, the following new activities will be launched:
 - A summative evaluation of the Pilot Program for Climate Resilience (PPCR) to focus on transformational outcomes and lessons for the new CIF programs.
 - Learning platforms for the Renewable Energy Integration (REI) and the Nature, People, and Climate (NPC) programs. The platforms will advance learning-based approaches, especially targeting countries that have not received CIF funding but have expressed interest in participating in the respective programs.
 - An evaluation capacity building engagement and call for proposals for the CIF Observers, in partnership with the Global Evaluation Institute, including in-person and online training modules.
 - Just transition activities, as suggested through the Just Transition Toolbox, piloted in countries through strategic engagements and stronger collaboration through the Just Transition Initiative roundtable series.
 - Organization of and/or engagement in more than 25 virtual, hybrid, and/or in-person learning events, including CIF-sponsored events and participation in global conferences or events, to share insights from E&L studies. Organization of 3–4 regional, MDB, South-South, or other strategic learning engagements involving multiple CIF countries from across regions.
 - Consistent efforts to disseminate the knowledge generated with enhanced use of short videos and an effort to make the knowledge available in multiple languages.
- 5. The requested budget allocation for E&L activities in FY24, as consistent with the FY23 27 E&L Business Plan and previous TFC-approved funding allocations, is USD 4 million. An annual report on FY24 activities will be delivered to the TFC consistent with standard CIF Administrative Unit Business Plan reporting practices and timelines.

2 Introduction and Background

- 6. The <u>E&L Initiative</u>, established in 2016¹ supports the delivery of the Climate Investment Funds' mandate as a learning laboratory for scaled up climate finance. The Initiative identifies strategic lessons across CIF's portfolio and enables learning that is timely and relevant, thus helping to inform decisions and strategies for CIF and the wider climate finance sector.
- 7. The <u>E&L Initiative FY23 27 Business Plan</u> was approved by the Trust Fund Committee in June 2022, after the activities related to the <u>second Business Plan covering FY20 22</u> came to a close. Developed through extensive consultations with over 100 CIF stakeholders and experts in the climate finance sector, the current Business Plan supports an increased ambition in addressing core issues related to scaling up climate finance.
- 8. The E&L FY23 27 Business Plan identified three main objectives, namely, the generation of new evidence in priority areas, the application of learning in countries and institutions, along with collaborations and partnerships to enhance the impacts of climate finance. The objectives are advanced across five priority learning themes transformational change; just transition; development impacts; scaling up climate finance; and program and sector-specific studies, which includes mid-term and other evaluations.
- FY23 was the first fiscal year executing the vision laid out in the FY23 27 Business Plan, with the associated activities elaborated in the FY23 E&L Initiative Work Plan. This document provides an annual report on activities delivered in FY23 and proposes a work plan for FY24 to continue delivering on the FY23 27 Business Plan.

3 Part I: Evaluation & Learning Initiative FY23 Annual Report

3.1 Progress on Objectives and Learning Themes

10. This section provides an overview of the activities the E&L Initiative undertook in FY23 under each objective. See Table 5.1 in Annex 5.1 for the deliverables planned in the Initiative's FY23 Work Plan under each of these objectives. Section 3.3 presents a summary of budget expenditures.

3.1.1 Objective 1: Generate new evidence in priority areas

- 11. The E&L Initiative's work to generate new evidence on priority themes included a range of products (i.e., large evaluations, case studies, conceptual work, and toolkits). Annex 5.2 presents an overview of E&L Initiative studies completed or ongoing in FY23, while the full list of published studies thus far is available on the <u>E&L Initiative webpage</u>.
- 12. Links to the main E&L Initiative publications completed in FY23 (for full list refer to Annex 2):
 - a. <u>Development Impacts Evaluation; Summary Brief; Case studies; Modeling Memo</u>
 - b. Just Transition Toolbox
 - c. Just Transition Case Study (English and Spanish)

¹ The E&L Initiative was first authorized by the CIF TFCs through a <u>decision</u> by the Joint Meeting of the CTF-SCF TFCs in 2015, and the TFCs subsequently approved the first <u>E&L Initiative FY17–19 Business Plan</u> in 2016.

3.1.2 Transformational change

- 13. New two-year strategy for the Transformational Change Learning Partnership (TCLP): The TCLP developed a two-year strategic plan (2022 –24) with three areas of work: (i) embedding transformational change concepts, methods, and metrics in the design, implementation, and review of all CIF programs; (ii) strategic engagements for transformational climate finance; and (iii) building a dynamic community of practice to enhance transformational change across the public, private, and philanthropic sectors. The TCLP also completed a stakeholder mapping exercise across its four Interest Groups (i.e., clean energy and energy transition; just transition; resilience and landscapes; and transformational change concepts, methods, and metrics) which helped prioritize stakeholders and partners for each of the three areas of work.
- 14. **Operationalizing transformational change in new CIF programs**: To operationalize transformational change concepts and frameworks, the TCLP is developing a set of tools. This includes guidance questions and signals of transformational change, and maximizing transformational impact toolkits for the new CIF programs Renewable Energy Integration (REI); Nature, People and Climate (NPC); and Accelerating Coal Transition (ACT). A series of evaluation guidelines aimed at different stages of the program and project lifecycle will support these toolkits. This includes guidance on evaluative approaches for transforming evaluations that will be expanded to include specific guidelines for diagnostic, design, implementation, and impact evaluations.
- 15. **TCLP publications**: A <u>working paper</u> that discusses barriers, principles, and pathways for transformational climate finance, along with case studies that apply these principles, was published. The paper was discussed during a two-day, in-person workshop on how to enhance action towards more equitable and inclusive climate finance. The paper highlights barriers and challenges that financial systems face in terms of supporting climate neutral, inclusive, equitable, resilient, and sustainable development pathways. It goes on to suggest principles that inform how climate finance may become more transformational. The TCLP also is publishing a thought leadership article on the importance of transformational change, and innovative approaches developed by TCLP partners.
- 16. A TCLP case study assessing investments over the last 12 years through the Pilot Program for Climate Resilience (PPCR) and Forest Investment Program (FIP) in Mozambique is expected to be completed soon. The case study builds on the insights from the <u>workshop held in Mozambique</u> and applies the transformational change dimensions in three key areas policy and planning, capacity development, and sustainable finance.

3.1.3 Just transition

17. Just Transition Toolbox: In response to country demand to provide practical guidance on how to plan and implement just transitions, the Initiative has launched a new <u>Just Transition Toolbox</u>. This toolbox presents key planning steps and processes needed for a just transition and shares over 200 resources (i.e., tools, methods, and case studies) associated with the steps outlined. The resources featured in the Toolbox have been gathered through extensive desk research and interviews, and include tools that have already been used or are being used in different countries. CIF's experience in supporting the Just Energy Transition Investment Plan in South Africa helped to identify gaps and supplimentary resources to support just transitions. The Toolbox is available in an interactive online platform and a PDF format.

1: Operationalizing just transition actions through the Just Transition Toolbox

The <u>Just Transition Toolbox</u> provides steps and resources to plan and implement a Just Transition and can be applied across sectors. It is organized around five modules: 1) mobilizing stakeholders into a socially inclusive process; 2) clarifying vision and high-level objectives; 3) analysis of transition impacts and opportunities; 4) devising strategies to promote a just transition; 5) enabling implementation. The toolbox includes 200+ tools, case studies, and resources that could be helpful when working through this process.

E&L Initiative will also support the piloting of some of these tools and facilitate South-South learning between countries, MDB partners, and key stakeholders engaged in planning a just transition. The six pilot projects being supported through the call for proposals mechanism take forward concepts linked to modules in the toolbox.



- 18. Just transition pilots through a call for proposals: Responding to the growing demand and interest, the Initiative launched a call for proposals for Multilateral Development Banks (MDBs) to pilot just transition planning tools and methods in country. Eighteen proposals were received and six were selected to receive a total of USD 800,000 across four MDBs partners. The selected projects include a socio-economic impact analysis of Uganda's transition to a low-carbon transport sector; AI-powered career guidance for workers affected by Egypt's green transition; strategies for reskilling affected workers within Colombia's just transition agenda; a social safety net strategy to ease proposed fuel subsidy reforms in Angola; support for just transition policies within local financing mechanisms in Mongolia; and a vulnerability and opportunity assessment of social and economic impacts in Türkiye's green transition. The selected projects demonstrated potential for scaled impact and alignment with national processes and other efforts.
- 19. Just transition case study: A new <u>case study</u> on how the transition to a sustainable water sector in Bolivia can be more just and inclusive was published. The study draws on the experience of CIF-funded projects in Bolivia. See Box 2 for insights from the study. The case study has been published in English and Spanish.

20. Just transition linkages within the Expressions of Interest (EoI) of the ACT, NPC, and REI programs:

To better understand if and how the topic of just transition was considered and incorporated in Eol for CIF's new programs (ACT, NPC, and REI), a review of the submitted Eol was undertaken. The review found that while "just transition" was mainly used in relation to the energy transition in the REI and ACT Eol, several equity issues, central to the just transition theme, were flagged in the NPC Eol with respect to non-energy sectors such as agriculture, forestry, fisheries, and waste management. These issues comprised the inclusion of marginalized and vulnerable groups; the adoption of a gender-egalitarian approach; the equitable distribution of natural resources; the enhancement of sustainable livelihoods; and the creation of green jobs.

Box 2: Supporting just transitions in the water sector: A case study highlighting Bolivia's experience

Bolivia is facing a water crisis due to limited water supply, population growth, and increasing water use in agriculture, industry, and energy. The crisis is exacerbated by climate change, including changing weather patterns, more frequent droughts, and retreating glaciers. Water quality is also jeopardized by mining, deforestation, urbanization, and untreated wastewater. Tackling these challenges requires broad, deep, and potentially disruptive changes in water management in Bolivia. If managed improperly, these changes could lead to an unfair distribution of losses and gains.





Select insights from the case study include:

- 1. Anticipate and address the distribution of risks, losses, and benefits of policies, projects, and investments: It is important to incorporate robust procedures for assessing distributional effects upfront, with appropriate indicators for monitoring and evaluation during and after implementation.
- 2. Identify and address fundamental inequalities and their drivers: Projects typically focus on equity considerations only directly linked to project itself, without addressing underlying vulnerabilities or even exacerbating them. Projects should be designed with a clear understanding of the local political economy, including an analysis of the historical processes and institutional mechanisms of marginalization.
- 3. **Build adaptive capacity through enhanced access to data and climate information:** Improving the quantity, quality, and transparency of hydrometeorological data and information systems, and making them more accessible, can help key institutions and communities make better-informed and more inclusive decisions that target the fair distribution of water resources.
- 4. Sustain stakeholder engagement, particularly by marginalized groups, through all stages of a project: Stakeholders need to be able to participate meaningfully all along the project and policy

processes, from framing the issues and priorities that need addressing, to policy and project design, to implementation, monitoring, and evaluation.

5. Identify and tackle trade-offs between climate mitigation and adaptation measures: A just transition requires a skillful assessment of the risks and opportunities for adaptation related to domestic and foreign mitigation strategies, and to fairly distribute associated costs and benefits.

These insights will be used by MDB partners to support more inclusive and fair design and implementation processes in future projects.

3.1.4 Development impacts

- 21. Evaluation of the development impacts from CIF's investments: The independent mixed-methods evaluation of the development impacts from climate investments provides important tools to understand how climate investments can contribute to broader development impacts. The evaluation provides a taxonomy for potential development impacts or co-benefits of CIF programs across four broad categories social, economic, environmental, and market in addition to two cross-cutting categories gender-related impacts and impacts on local stakeholders. It assesses key factors influencing the extent to which these development impacts are realized and provides recommendations on how to enhance the impacts. The evaluation includes a final report, 13 country cases studies, and a memo on development impact modeling approaches. See Box 3 for select insights from the independent evaluation.
- 22. In addition to the evaluation report, a <u>shorter summary document</u> synthesizes lessons for the climate finance community to better use and interpret the tools and insights in the evaluation. The evaluation has thus far been presented in over three events, including a webinar hosted by the E&L Initiative in May 2023, and in the in-person <u>Africa Knowledge Exchange</u> event co-hosted by the CIF, Government of Côte d'Ivoire, and AfDB in March 2023.

Box 3: Selected insights from the "Evaluation of Development Impacts from CIF's Investments" report

- A systematic approach to classifying and mapping DIs can help better understand, plan for, and achieve DIs. The evaluation produced a Climate Finance DI taxonomy that classified over 60 DIs along with impact pathways that provide a useful foundation to help stakeholders articulate and map their DI objectives.
- **2. Designing for DIs through a "back-casting" approach can enhance and amplify DI results.** Through a "back-casting" approach, stakeholders identify the most important DIs that they want to achieve and progressively work back to what energy source/adaptation response would meet those development needs, while considering other factors along the DI pathways, such as alternative ownership, the distribution of benefits, and empowerment of communities.
- 3. Certain DIs are catalytic Super DIs that influence the achievement of other DIs, requiring even closer attention in design, implementation, and monitoring and evaluation. The case studies identified several Super DIs that are catalysts to unlocking other DIs: industrial competitiveness and market development, social inclusion, capacity building, and local workforce development. These DIs were

frequently a precursor for other DIs, such as increased earnings, job benefits, and improved livelihoods. These Super Dis are particularly crucial to plan for in the project design.



23. Collaboration with the Development Impact Evaluation (DIME) unit to support ACT investment planning: The CIF Monitoring and Reporting team, in collaboration with the E&L Initiative, has partnered with the <u>DIME unit</u> at the World Bank, to offer impact evaluations associated with the ACT investment plan. Project teams can conduct diagnostics, develop impact pathways, and design impact evaluations with support from a team of researchers from DIME and other academic institutions. The timeline for this work is dependent on demand as well as the ACT IP development timeline of individual countries.

3.1.5 Scaling up climate finance

- 24. The 2022 E&L Business Plan consultations highlighted the need for a dedicated focus on understanding key issues related to scaling up climate finance. Accordingly, the Initiative undertook formative work this fiscal year to identify and unpack questions that CIF is best placed to answer. In addition to the work shared above on transformational climate finance, the Initiative also completed the following activities:
- 25. **Contribution to the Sharm El Sheikh Guidebook for Just Financing:** CIF was invited to contribute to the <u>The Sharm El Sheikh Guidebook for Just Financing</u>, an initiative by Egypt's Ministry of International Cooperation (MOIC). The goal of the guidebook was to highlight the roles of different climate finance stakeholders in catalyzing needed investments and translating commitments into projects. The E&L Initiative contributed to chapters on mapping the climate finance landscape, creating an enabling environment for climate investment, enhancing investability of green projects, and rethinking the international finance structure for climate action. The Initiative also contributed with <u>case studies</u> that highlighted the CIF's and MDBs' experience with deploying blended finance in mitigation and adaptation projects.
- 26. **New study on the role of concessional finance:** A study by Bloomberg New Energy Finance will analyze CIF's experience working with the private sector and examine the effectiveness of concessionality in different types of markets and sectors. This study builds on a previous one <u>published in 2019</u> that looked at potential concessional finance opportunities in middle income countries using evidence from the experience of the Clean Technology Fund (CTF). The new study will explore how concessional finance (and private capital) can help emerging markets deploy clean

energy technologies, with an in depth analysis on concessional finance instruments, conditions, and delivery models. The study is expected to be completed in FY24 with early results available for discussion by COP28.

27. Understanding small and medium enterprises' engagement in adaptation: The E&L Initiative, in collabaration with the PPCR team, undertook a desk review to increase the understanding of SMEs' engagement in adaptation projects. The findings from this internal analysis were used to inform various engagements, including the Knowledge for Resilience South-South workshops hosted in <u>Cairo in September 2022</u> and <u>Maputo in April 2023</u>. The analysis is also expected to inform the engagement with SMEs as part of the projects in the NPC program. The review underscores the outsized relevance of SMEs in many of the communities that they are part of and the role that they can play in climate resilience.

3.1.6 Program and sector-specific topics

- 28. Scaling up Renewable Energy Program evaluation: The <u>SREP evaluation</u> was completed in June 2022 with a number of activities conducted in FY23 to disseminate the findings and recommendations from this independent evaluation. Four short feature stories were published in 2022 (July; August; <u>September</u>; and <u>November</u>) highlighting key insights from the evaluation. During a <u>TCLP workshop in</u> <u>October</u> the evaluation lead presented how the signals of transformational change had been used within the evaluation and identified in particular country case studies. The findings and recommendations of the evaluation were presented in a CIF hosted webinar in December that included many TFC members. Questions, insights, and suggestions from this webinar were consolidated and shared with a broader audience to further support the uptake of the key learnings from the evaluation and subsequent discussions.
- 29. Mid-term evaluation of the FIP and Dedicated Grant Mechanism (DGM): This independent evaluation was launched in January 2023 with the aim to better understand which elements of FIP and DGM worked; which did not work; for whom; and in which contexts. After a competitive process, Indufor North America was selected to lead the evaluation in collaboration with ICF. In FY23, the independent evaluation firm finalized the design of the evaluation and conducted data collection and analysis. In March 2023 during the <u>Africa Knowledge Exchange</u> in Côte d'Ivoire, discussions were held with representatives from four African countries (i.e., Burkina Faso, Côte d'Ivoire, Democratic Republic of the Congo, and Ghana) including DGM representatives in these countries. In addition, a reference group consisting of 10 representatives from contributor and recipient countries; MDBs; Indigenous People and Local Communities (IPLCs); and the CIF Administrative Unit were selected and have met two times thus far to gather feedback on the draft inception report, among others. The evaluation is expected to be completed in FY24.
- 30. **Responsive research**: The E&L Initiative, as part of the new 'responsive research' window which was set up to advance timely, short-term research needs for the CIF programs, undertook two internal research projects. The first of these analyzed the 54 EOI received for the REI program to identify key trends, knowledge gaps, barriers, and opportunities. High-level findings from this work was shared with the TFC, and will also be used to guide the content being covered through the <u>REI learning platform</u>. A similar analysis was done using the 48 EOI received for the NPC program. The analysis uncovered opportunities for areas of support that could be advanced through knowledge and learning-based approaches.

31. **Technical Assistance Facility (TAF) knowledge work**: In October 2022, a <u>report</u> on the role of enabling environment activities in scaling up climate finance was published. The report is based on experience from past clean energy and climate resilience-related technical assistance (TA) activities supported by the CIF.

3.1.7 Gender and local stakeholder engagement

- 32. Gender considerations and local stakeholder engagement are cross-cutting priorities relevant in all aspects of the Initiative's work. For example, gender considerations feature prominently in the Bolivia case study, in several development impact evaluation case studies, and in the Just Transition Toolbox. The E&L Initiative also partnered with CIF's gender team to foster gender and social inclusion in Indonesia's Just Energy Transition through the Women-Led Coal Transition (WOLCOT) Grant Mechanism under the ACT Program. Associated activities included a background paper and a national workshop that identified entry points for women's climate leadership. The Initiative is supporting a similar process in South Africa which will build on the work in Indonesia. A study undertaken by the World Bank Environment & Natural Resources and Mind, Behavior, and Development Units, funded through the E&L Call for Proposals mechanism, was used to understand how to improve outreach strategies for natural resources management grants in Mexico in order to increase the number of applications submitted by women in participating localities. In FY23, the study was published as a World Bank research working paper and related deliverables (i.e. poster, results brief, etc.) will be published in FY24. Support was also provided to the CIF gender team to advance work on disability inclusions in CIF investments, specifically for the development of a background paper containing sectoral guidance notes with recommendations on how to mainstream disability issues in the sectors to facilitate opportunities for persons with disabilities, safeguard their rights and interests, and provide access to services CIF is financing.
- 33. Moreover, the Initative has been a part of two CIF Observers capacity development workshops. This includes a CIF Stakeholder Outreach Meeting in Canada held in December 2022, as well as a full-day "Foundations of Evaluation Design" workshop in Brazil held in June 2023. The latter workshop is being co-organized by the Initiative, CIF's stakeholder engagement team, and the <u>Global Evaluation</u> <u>Initiative</u> as part of the evaluation capacity development (ECD) activity to be launched in FY24 (refer to section 5 on FY24 Work Plan).

3.1.8 Objective 2: Apply learning in countries and institutions

- 34. The E&L Business Plan emphasizes the need to operationalize evidence generated and apply learning through a variety of approaches to reach stakeholders at the country level and with relevant institutions.
- 35. Links to some of the main E&L Initiative events in FY23:
 - a. Two South-South Knowledge Exchange workshops (Egypt and Mozambique);
 - b. Two TCLP in-person workshops (October 2022 and May 2023);
 - c. Three sessions organized as part of the Africa Knowledge Exchange; and
 - d. Two dissemination events for recently completed evaluations (December 2022 webinar on SREP Evaluation; and May 2023 <u>webinar</u> on Development Impacts Evaluation).

- 36. **Operationalizing knowledge generated:** Tools developed by TCLP, including guidance questions and signals of transformational change, aimed to provide practical input into all aspects of CIF's new programs, including development, implementation, and evaluation. Similarly, the Just Transition toolbox and associated call for proposals, aimed to provide actionable guidance to practitioners and decision-makers on just transition principles and their implementation. Also, derivative products from the development impacts evaluation aimed to provide practical guidance on how to better use and interpret development impacts planning and assessment tools for projects.
- 37. Learning partnerships: The two E&L learning partnerships, the TCLP, and the Just Transition Initiative (JTI) continue to grow in reach and engagement of partners through continuous learning on topics relevant to current and future CIF programming. The TCLP has over 650 members representing over 300 institutions while the just transition circulation lists currently reach close to 300 individuals. The members are regularly engaged through email communications, events, and workshops. For the first time since the start of the COVID-19 pandemic, the TCLP held two in-person workshops, which aimed to bring together the TCLP stakeholders for joint learning and receive inputs to help shape the future strategic focus of TCLP in a collaborative manner.

Box 4: In-person Transformational Change Learning Partnership workshop

After more than two years of virtual meetings and workshops, the TCLP met in-person. The first TCLP workshop took place in October 2022 in Washington, D.C., and brought together a diverse group of 60 individuals from country governments, MDBs, civil society, and climate funds including the Green Climate Fund (GCF) and the Global Environment Facility (GEF). The workshop focused on the application of the transformational change framework; the evaluation of transformational change; and transformative climate finance.



Building on the input gathered at the October 2022 workshop, the TCLP organized a smaller in-person workshop in May 2023 to advance the strategic focus on transformational climate finance. This workshop used case studies, group exercises, current research, and plenary discussions to ground previously identified principles in real world climate finance programs and projects. This focused work with experts and practitioners from around the world identified key aspects of climate finance (e.g. perceptions of risk) along with mechanisms, enabling conditions, sources of finance, and stakeholders that can enhance the transformational potential of climate finance. Future work on a series of guidance notes will be shaped by the insights developed during this workshop.



- 38. The TCLP held a series of webinars to allow thematic deep dives. The TCLP Just Transition and Resilience & Landscapes Interest Groups hosted a joint-webinar that explored inclusive, just, and resilient approaches to water resource management. The webinar discussed key findings from CIF's <u>case study</u>, Supporting Just Transitions to a Sustainable Water Sector in Bolivia, and other examples, and included an interactive discussion on how to deepen transformative intent in the water management sector. Other webinars included a discussion on various learning platforms to support the CIF REI program, and a workshop on building the capacity of partners to use the evaluation guidelines for systems change throughout the investment, program, and project lifecycles.
- 39. **Applied learning engagements:** The E&L Initiative expanded its learning engagements from purely virtual to hybrid and in-person exchanges. The Initiative organized 16 events reaching over 780 participants. A few highlights include:
 - Two Knowledge for Resilience South-South workshops, in <u>Cairo, Egypt</u>, and <u>Maputo</u>, <u>Mozambique</u>, in collaboration with the PPCR team, focused on the private sector in climate action, particularly in adaptation and resilience investments. The two events were attended by over 120 participants from 12 countries.
 - Two in-person TCLP workshops, attended by over 50 participants, focused on developing the strategy for the TCLP partnership for the next two years and on advancing conversations around transformational climate finance. In addition, over 120 participants attended three other TCLP events (i.e., webinars and interest group meetings).
 - The E&L Initiative provided technical inputs to and supported the CIF and AfDB's <u>Africa</u> <u>Knowledge Exchange</u> organized in March 2023 in Abidjan, Côte d'Ivoire. This event targeted African countries involved in the FIP, PPCR, and NPC programs. The Initiative facilitated two sessions on just transition and development impacts, and contributed to a session focused on transformational climate leadership, in addition to sponsoring participants to attend the event.
 - During the <u>PPCR M&R Dialogue and Strategy Workshop for the Asia-Pacific Region</u> held in Manila, the E&L Initiative carried out a workshop on the TCLP framework and its application to project level monitoring and evaluation.

Box 5: South-South knowledge exchanges

With the restart of in-person engagements, the Initiative has been able to engage with country partners through dedicated workshops on a demand driven basis. Three South-South knowledge exchanges were organized in Egypt, Côte d'Ivoire, and Mozambique.

In September 2022, the CIF collaborated with the Egyptian Ministry of International Cooperation (MOIC) for a two-day workshop titled "<u>Enhancing Private Sector Engagement in Adaptation</u>". The workshop was held on the margins of the second edition of the Egypt – International Cooperation Forum, and brought together over 40 participants from Egypt, Madagascar, Mozambique, Niger, Uganda, and Zambia, as well as representatives from the private sector and MDBs (AfDB and IFC).



In March 2023, the E&L Initiative supported the <u>Africa Knowledge Exchange</u> co-organized by AfDB, CIF, and the Government of Côte d'Ivoire in Abidjan, Côte d'Ivoire. Financial support was provided to sponsor participants to attend this event, and technical inputs were provided to organize and facilitate two sessions on just transition and development impacts, and contribute to a session on transformational climate leadership. During the event's knowledge fair, a booth with CIF's knowledge work featured many E&L studies undertaken on FIP, PPCR, and DGM.



In April 2023, the CIF collaborated with the Government of Mozambique for a three-day workshop titled '<u>Private Sector in a Climate Resilient Mozambique</u>'. The bi-lingual event brought together around 80 participants predominantly from the government and private sector, as well as from several other African countries. The venue provided a needed platform to discuss the role of various actors particularly the government, private sector, and financing institutions to enable private investments in climate action, particularly in resilience and adaptation.



- 40. **Studies under the country engagement window**: The E&L Initiative, through its participation in CIF AU's country engagement budget request process, invited research and applied learning proposals from MDBs, aimed at enhancing the applicability of the findings or recommendations from existing or ongoing E&L studies. Three proposals were approved this fiscal year totalling USD 150,000. Two of these aim to pilot the African Development Bank's (AfDB) just transition framework in AfDB operations in differing contexts in Tunisia and Kenya, and use the results from the pilot to assess how the framework could be rolled out in other countries. Financial and technical support was also provided to host the Africa knowledge exchange in Abidjan that focused on nature-based solutions to inform future programming.
- 41. **Other external engagements:** The E&L Initiative was invited to speak at various events, providing an additional platform to engage with stakeholders and disseminate the learning from its work. Some highlights are presented below:
 - During <u>COP27</u>, the Initiative contributed to several of the 31 events organized by CIF. In addition, it helped organize a dedicated workshop on planning ahead for 2023 and the next phases of collaboration on results, indicators, and methodologies for measuring impact. The workshop took place on the Climate Funds Collaboration Platform, a collaboration space for the five major climate funds.
 - A three day in-person event titled <u>Wilton Park Transformational Change Towards a</u> <u>Sustainable Future</u> supported by the Initiative involved 40 participants and was the culmination of a series of three virtual dialogues on transformational change. This event aimed to bring concrete, evidence-based guidance on designing, supporting, and implementing transformational change for a sustainable future. The second event, involving 50 participants, was held virtually to explore the transformational depth of the loss and damage agreement reached at COP27 and to develop pathways for deepening the transformational impact of this increasingly important aspect of climate finance. Key insights were shared through a report entitled "Loss and Damage: how transformational?".
 - The Initiative presented at the <u>7th Global National Adaptation Plan (NAP) Expo 2022</u> by coorganizing, with the PPCR Team, the session on "Investing in Transformational Climate-Resilient Development: the CIF Experience" which was attended by 55 participants.
 - The Initiative showcased the new Just Transition Toolbox to 50 participants during the <u>Just</u> <u>Transition Forum in Asia (JTFA) event on Transformation Lab: Just Transition Toolbox.</u>
 - Presenting the Just Transition Toolbox at the World Bank <u>Innovate for Climate (I4C)</u> conference held in Bilboa, Spain in May 2023. CIF led a workshop that will launch the Just

Transition Toolbox, guide participants in how to use it, and reflect on how the tools can be used in different contexts. CIF also hosted a booth at the Marketplace to introduce the Just Transition Toolbox through an interactive exhibit.

- Speaking engagements at technical conferences such as the <u>Asian Evaluation Week 2022</u>, <u>American Evaluation Association (AEA) Conference</u>, and the <u>South African Monitoring and</u> <u>Evaluation (SAMEA) biannual conference</u> allowed space to engage with the evaluation community and share how the Initiative is using evidence-based approaches to influence programming around complex topics such as transformational change and just transitions.
- 42. Uptake of knowledge generated among MDBs: The work being advanced by E&L continues to be used and picked up in various contexts and stakeholders, including within the MDBs. The Just Transition Framework developed by E&L has been used as a basis for AfDB's work on just transition. The Transformational Change framework was used to inform the REI investment plan being developed by Mali, supported by AfDB. The team contributed with two high-level modules on transformational change and just transition for the World Bank Climate Change Executive Training (CCET) for senior World Bank leadership. ADB is working with its client countries to see how the TCLP framework could be applied to project level monitoring and evaluation, and was highlighted during the Monitoring and Evaluation Strategy Workshop in Manila with several client countries. The insights from the <u>case study</u> on just transitions in Bolivia's water sector is being used by IDB to inform future projects.²
- 43. **Communications**: The Initiative continues to produce a range of communication outputs to make technical content more accessible. These include blogs, videos, webstories, newsletter features, social media engagement, and targeted email announcements. New ways of reaching audiences are being tested, such as through dedicated Linked In campaigns. The Initiative is also testing new formats for disseminating information to lay audiences, such as by creating an <u>animation</u> to explain the TCLP's work. A bimonthly email provides a quick and accessible update on TCLP activities and upcoming events. In terms of access to the CIF website, there continues to be good traffic to the Initiative's knowledge products. The CIF newsletter, as well as select MDB bulletins and mailing lists, have provided additional channels for the Initiative's dissemination efforts. Specifically, the *CIF Knowledge Review Newsletter*, released once every quarter in FY23, reached an audience of over 1,700 individuals.

6: Strategic communications

The E&L Initiative's communication strategy is built on a mix of push and pull communications, aiming to ensure easy access and encourage deeper, higher impact engagements. Hence, the Initiative's outreach effort aims to reach the more "aware" audiences familiar with our work, as well as those who are new or unacquainted with CIF's work.

This year, the Initiative experimented with different outreach drives, such as a global profile-building campaign on Transformational Change, ahead of the TCLP meeting in October 2022. The campaign, which focused on LinkedIn as CIF's most effective social media platform, contributed to over 1000 new followers on CIF's LinkedIn channel by reaching out to targeted and strategic groups/individuals. The campaign

² This are examples as shared by MDB partners with the E&L team

promoted adverts on the LinkedIn feeds of target users around the world, linked website <u>content</u>, positioning CIF's transformational change work, and shared a new animated "explainer" video about the TCLP:



The <u>animated video</u> was particularly strategic because it was viewed more than 30,000 times – an incredible number even by LinkedIn standards that benchmarks 5,000 views as a "worthwhile endeavor". Numbers aside, the use of the animated video drove interest in a complex topic pushing and positioning CIF's "story" to diverse audiences.

Outside of running campaigns, LinkedIn is also strategically important for CIF's "aware" audiences and those who follow CIF. Posts from the TCLP events as well as the Initiative's meeting with other climate funds demonstrate the value of engaging with a

familiar audience. As the two images show, reflecting the Initiative's work in real time is a great driver of engagement, reflects CIF's mandate as a learning laboratory, and demonstrates the Initiative's objective to be a builder of partnerships and collaborations.





For the Development Impacts Evaluation, the Initiative built on previous lessons on using short informational videos as a way of driving interest among CIF audiences. The strategy is to use the broad appeal of the climate finance and development angle as an opportunity to find new audiences in foundations, the development sector, and other groups interested in this work.



3.1.9 Objective 3: Foster collaborations and partnerships for enhanced impact

- 44. **CIF-MDB Knowledge, Monitoring, Evaluation, and Learning coordination calls**: The Initiative, in collaboration with other CIF teams, launched biannual KMEL coordination calls with MDBs to provide a holistic overview of new studies, learning opportunities, and upcoming collaboration opportunities. The objective of these calls is to identify possible opportunities for coordination, consolidation, and/or cooperation with MDBs, and address MDBs' requests to be informed of upcoming requests for document review, information, and more. The first KMEL coordination call was held in May 2023.
- 45. **Engagement with other climate funds:** The E&L Initiative continues to interact regularly with other climate funds through various collaborative efforts such as the joint funds collaboration platform led by the CIF M&R team; learning partnerships such as the TCLP; joint presentations on key knowledge-sharing events; and periodic consultations such as the ones held for the development of the E&L FY23–27 Business Plan. In January 2023, evaluation teams from across the four climate funds (i.e., CIF, Green Climate Fund, Global Environment Facility, and Adaptation Fund) met to discuss their key work areas and potential opportunities for synergies. A senior member from the GCF IEU has also joined the E&L Advisory Group.
- 46. Engagement with MDBs' Independent Evaluation Units: In March 2023, the Initiative welcomed colleagues from the World Bank's Independent Evaluation Group (IEG) to discuss the <u>IEG's</u> <u>Responsible Evaluation framework</u>. This discussion led to an E&L team workshop to reflect on how the Initiative incorporates World Bank values and evaluation standards into its own work. As a result, the Initiative's work will try to focus on how to better support evaluative capacity in communities, to enable communities to better participate and influence higher-level processes, and how to address long-term, systemic issues such as power relations.
- 47. Youth internship: In an effort to better reflect perspectives from youth in its work, the Initiative welcomed a youth intern to the team (see Box 7). The internship, developed in collaboration with the CIF's Stakeholder Engagement team, was designed with a focus on professional development and coaching in climate finance.

Box 7: Reflections from the outgoing youth intern

The E&L Initiative welcomed its first youth intern in FY23 in partnership with the CIF Stakeholders Engagement team. This year's intern was selected from the Middle East & North Africa (MENA) region.

The Initiative supported Loay Radwan, an Egyptian environmental engineering student with an international master's degree in Sustainable and Innovative Natural Resources Management. Loay writes of his time with CIF, "The experience I gained at CIF has been invaluable. I now have a better appreciation of the critical role that finance plays in mitigating and adapting to the effects of climate change, and the



role that youth could play in this. I believe that the skills I picked up during my internship will be valuable in helping me achieve my career goals and make a positive impact on the environment and society."

Loay worked on the Just Transition Toolbox and the Development Impacts summary brief. The team benefited from Loay's perspectives, some of which would help inform future internships as well as engagement with youth. Hear from Loay on his experience and perspectives for youth <u>here.</u>

- 48. **E&L Advisory Group and work plan consultations**: The E&L Advisory Group continues to regularly engage with and guide the activities of the E&L Initiative, with five Advisory Group meetings organized to provide updates on E&L activities as well as obtain input and guidance on various matters, such as priorities for the E&L FY24 Work Plan presented in this document. After nearly three years of purely virtual meetings, a two-day, in-person Advisory Group meeting was held in September 2022 in London, using this opportunity to bid farewell to two external expert advisors who were rotating out from the Advisory Group. A member from the GCF IEU and an external expert for Just Transition has since joined the E&L Advisory Group.
- 49. External partnerships: Key partnerships continued to be strengthened in FY23 to amplify the impact of knowledge being generated. TCLP's engagement with Wilton Park has given the Initiative a prominent venue to highlight some of its work and provide evidence supporting high-level conversations on how to navigate climate transitions. The partnership with DIME at World Bank allows the Initiative to offer core evaluative and independent research support to the new programs as part of their investment plan development process. Other prominent external partners include the Stockholm Environment Institute, the Global Evaluation Institute, and Bloomberg New Energy Finance.
- 50. **Robust management** and coordination of activities are important for ensuring the timely and quality implementation of activities. Additional implementation support, including from consultants and firms, has helped in managing or implementing E&L activities. Other efforts, including maintaining a knowledge activity dashboard, tracking participation and engagement in E&L activities and engagements, and ensuring coordination and synergies among implementing partners, have also been undertaken to promote efficiencies.

3.2 Budget

51. The <u>E&L Initiative FY23 – 27 Business Plan</u> presented a five-year budget with overall indicative allocations per year. The <u>FY23 Work plan</u> detailed how this funding was allocated in FY23. Table 3.1 shows estimated planned budget allocations, as described in the E&L FY23 Work Plan, alongside actual or likely projections of budget commitments for these activities through end-FY23.

FY23 Work Plan Activity	Estimated or Planned Budget	Actual or Projected Budget	Explanation
Objective 1: New evidence on priority th	emes ³		
Transformational change: Conceptual/analytical work, new guidance, toolkit, and other analytical or conceptual work	\$200,000	\$200,000	Planned studies were delivered
Just transition: Toolbox development, other related evidence and learning activities	\$500,000 ⁴	\$500,000	Just transition toolbox was launched and call for proposals selected
Development impacts: Additional analyses or products on CIF development contributions, including partnership with DIME ⁵	\$150,000	\$50,000	Work with DIME has been deferred to next fiscal year based on ACT program cycle
Scaling up climate finance: New independent study on concessional finance	\$350,000	\$250,000	Contract value has been reduced due to procurement delays
Program and sector-specific studies: New independent evaluation of FIP and DGM	\$450,000	\$450,000	Independent evaluation commissioned, due to be completed in FY24
Other studies such as responsive research studies	\$150,000	\$150,000	Planned studies were delivered
Objective 2: Application of learning			
Transformational change: TCLP facilitation, organization of other events/workshops	\$400,000	\$600,000	Two in-person and multiple virtual workshops delivered; two-year contract with facilitation firm signed – FY23

Table 3.1: FY23 Work Plan Budget

³ Some studies completed in FY23 had already been resourced through previous years' budgets.

⁴ The total sum of US\$800,000 for call for proposal activities wherein MDBs proposed the development and/or piloting of just transition tools, is split between the two objectives of new evidence generation and applied learning given its contribution to both objectives.

FY23 Work Plan Activity	Estimated or Planned Budget	Actual or Projected Budget	Explanation
			and FY24
Just transitions: Toolbox development, other related evidence and learning activities	\$400,000	\$400,000	Just transition toolbox was launched and call for proposals selected
Development impacts: Additional analyses or products on CIF development contributions	\$100,000	\$100,000	In-person and virtual events delivered
Scaling up climate finance: New independent study on concessional finance, contributions to finance guidebook	\$100,000	\$100,000	Activities delivered
Program- and sector-specific studies: New studies and responsive research	\$250,000	\$250,000	Activities delivered; new partnerships created, and activities committed
Additional learning engagements: Deeper strategic learning with countries, MDBs, and other institutions	\$150,000	\$150,000	Activities delivered
Objective 3: Collaborations and partner	ships		
Development of new partnerships and collaboration for learning and dissemination	\$200,000	\$200,000	
Co-organization of strategic events with key partners for dissemination, uptake, and learning	\$200,000	\$200,000	
Development of FY24 Work Plan and other engagements with E&L Advisory Group	\$100,000	\$100,000	
Other implementation support ⁶	\$300,000	\$300,000	
Total	\$4,000,000	\$4,000,000	

⁶ Costs to implement activities under Objectives 1–3 are included in yearly costs per objective. "Other implementation support" includes additional cross-cutting coordination, management, implementation, administrative, and/or logistical support costs, including consultants to support implementation and costs associated with E&L Advisory Group meetings.

4 Part II: FY24 Evaluation and Learning Initiative Work Plan

4.1 Activities for FY24

- 52. The proposed set of activities outlined in the FY24 Work Plan were determined in accordance to the <u>E&L FY23–27 E&L Business Plan</u>, through additional consultations with CIF stakeholders, and per guidance by the E&L Initiative Advisory Group. For the development of the five-year businees plan, over 40 consultations were held with CIF stakeholders, including contributor and recipient countries, multilateral development banks (MDBs), Observers, CIF Administrative Unit program and thematic teams, and other climate funds.
- 53. Key milestones and expected outcomes and activities for FY24 include:
 - Design, launch, and dissemination of 2–3 major studies on priority topics, including publication of the independent mid-term evaluation of the Forest Investment Program (FIP) and Dedicated Grant Mechanism (DGM), and launch of the independent evaluation of the Pilot Program for Climate Resilience (PPCR).
 - Completion, launch, and dissemination of 5–6 additional case studies, analytical briefs, and/or guidance documents on just transition, transformational change, and development impacts related to evaluation and practice. Other publications will include a commentary of lessons learned from the South Africa Just Energy Transition Investment Plan (JET-IP) process and a knowledge-for-resilience study on mainstreaming resilience in energy programs.
 - Launch of two Learning Platforms, for the Renewable Energy Integration (REI) and the Nature, People, and Climate (NPC) programs. The platforms will advance learning-based approaches especially targeting countries that have not received CIF funding but have expressed interest in being a part of the respective programs.
 - Organization of and/or engagement in more than 25 virtual, hybrid, and/or in-person learning events, including CIF-sponsored events and participation in global conferences or events, to share insights from E&L studies.
 - Organization of 3–4 regional, MDB, South-South, or other strategic learning engagements involving multiple CIF countries from across regions, including the application of transformational change and just transition frameworks and principles in country.
 - Launch of the evaluation capacity development (ECD) activity for CIF Observers including grants to pilot climate evaluation approaches in country and support application of insights from CIF evaluations.
 - Targeted dissemination of studies and lessons, including to newly identified audiences relevant to new CIF programs.
 - Focus on offering E&L content in multiple languages to make it more accessible to stakeholders.

- Deepened engagement at the country level, with an emphasis on peer-to-peer learning and South-South exchanges. This would be done in coordination with other teams within CIF as well as MDB partners to ensure synergies.
- 54. The activities to achieve the E&L Initiative objectives are organized under five learning themes. Table 4.1 presents the specific activities planned, organized by learning themes; additional details are provided in the narrative after the table. While the activities presented below are based on current plans and targets, as the year progresses new events and information may lead to adjustments in timelines and deliverables.

	Transformational Change (TC)	Just Transition (JT)	Development Impacts (DIs)	Scaling Up Climate Finance	Program and Sector-Specific
New Evidence	 Maximizing transformational impact toolkits Guidelines for evaluation in different moments of program life cycle Overview of learning processes that support transformational change Case study work on transformational change to support PPCR evaluation Guidelines on transformational climate finance 	 Iteratively building on the launched toolbox with additional tools/case studies 6 calls for proposals implementation 2+ CIF-led country pilots leading to studies that capture learning Lessons learned commentary/blog on JET-IP development 	 Derivative products from independent evaluation of CIF DIs Development of next phase of DIs measurement and approach 	 Concessional finance independent study completed Cross-sectoral work under TC and JT themes Transformationa I Change Learning Partnership (TCLP) paper on transformational climate finance Discussion on new areas pending findings from Bloomberg New Energy Finance (BNEF) study 	 Completion of program mid- term evaluation of the FIP and the DGM Launch of program evaluation of PPCR New studies through program learning platforms Collaborations on CIF investment plan closing workshops 1 – 2 Knowledge for Resilience Series case studies
Application of Learning	 Work strategically with program leads – to use diagnostic, design, implementation, and review evaluation guidelines Apply (Summative/ Impact Evaluation) guidelines to PPCR closeout in one country TCLP workshop on applying guidelines on transformational climate finance 	 Community of practice activities, including 4+ South- South roundtables 2- 3 deep country engagements on just transition activities Participation in internal and external workshops and events 	• Mining more derivative products and fact pages, evaluation guidance on DIs from the larger study; including capacity building on developing impact pathways	 Cross-sectoral work through TCLP and JT events and workshops Dissemination of BNEF study In person Wilton Park event on TCF (with TC and JT themes) 	 New Learning Platforms for REI and NPC programs FIP and DGM evaluation dissemination Setup of reference group for input to PPCR evaluation and real time learning 3+ country/ regional

Table 4.1: Overview of E&L FY24 Work Plan Deliverables

 6+ workshops across Interest Groups on application of TC Linked-In profile to build TC community of 	engagements o key themes • Collaborations on IP close out workshops with
practice	the M&R team

• Collaboration with other climate funds, observers, and other CIF stakeholders on our knowledge work;

• In-person and virtual TCLP events to review progress and chart way forward related to raising the profile of, building capacity for, and increasing the impact of transformational change;

• Broadened reach to more diverse audiences and development of additional partnerships to facilitate the dissemination, uptake, and use of E&L knowledge generated; and

• New evaluation capacity development collaboration with CIF Observers and call for proposal for evaluative activities.

4.1.1 Transformational change

- 55. **Transforming evaluations and learning**: This work focuses on building capacity for the evaluation of, and learning for, transformational change. This includes the ongoing development of guidelines for different moments of evaluative activity within the program and project lifecycle as well as working with key partners to use these guidelines in mature and new CIF programs. The TCLP will work strategically with CIF program leads to support diagnostic, design, implementation, impact, and other evaluative processes as appropriate. This potentially includes a system diagnosis linked to the new NPC program and case studies related to the country investment plan close-out of the PPCR. During FY24 the TCLP will develop four guidelines and conduct two or more learning interventions on these guidelines.
- 56. **Providing guidance on and support for transformational climate finance:** Develop guidelines that support the provision of and access to climate finance that is: relevant to emerging needs; supports systemic change; is quicker to access; is conducive to scaling; and adaptive to changing contexts. Work with a range of partners to use these guidelines through channels such as the Technical Assistance Facility of the CIF and other climate finance institutions. Partnership with various agencies and networks including the Glasgow Financial Alliance for Net Zero (GFANZ) and Global Energy Alliance (GEA) will be explored as part of this work.
- 57. Fostering collaboration and building the TCLP community of practice: The TCLP Interest Groups on Concepts, Methods, and Metrics; Clean Energy; Resilience and Landscapes; and Just Transitions will continue to be supported. This support includes the organisation of at least six webinars for exchanging knowledge and developing new practices. Topics for these webinars are based on strategic focus areas of shared interest and include, but are not limited to: operationalising the framework, questions and signals of transformational change; supporting learning platforms for transformational change; enhancing the transformational depth of climate finance, including finance for loss and damage; and enhancing the transformational intent of just transitions. Insights and case examples from these events are used to illustrate and ground the work on transforming evaluations and supporting transformational climate finance. In addition to the webinars the TCLP will be

exploring a variety of ways to use Linked-In as a platform for convening a broader, more inclusive, community of practice focusing on transformational change.

58. Evaluating the impact of both the TCLP and more broadly TC in the work of the CIF: Conduct an inperson workshop that brings together diverse partners and stakeholders to review and co-create the work of the TCLP. Furthermore, the workshop will help clarify what an evaluation of transformational change processes and outcomes related to the work of the CIF should cover and how it could be conducted. This latter piece of work is in preparation for a review of TC similar to one conduceted in 2019.

4.1.2 Just transitions

- 59. Just Transition Toolbox: The E&L Initiative will aim to iteratively expand and improve the Toolbox based on feedback from partners and users. New examples and resources will be compiled to maintain a diversity of content across sectors and geographies. As part of this work, the Initiative will consider how to integrate gender and disability components into the Toolbox.
- 60. **Call for proposals implementation**: Six projects funded through the Just Transition Initiative (JIT) Call for Proposals process will begin implementation through MDB partners. The Initiative will document learnings from the projects in the Just Transition Toolbox.
- 61. **Community of practice:** The Initiative will further deepen the community of practice through a series of South-South Knowledge Exchange events (potentially, one per region: Sub-Saharan Africa, Asia, Latin American, and Small Island Developing States) that aim to provide a platform for exchange on how to plan and implement just transitions. Each event will convene stakeholders, including governments, technical experts, civil society, and philathrophy to discuss just transition planning and approaches. These events will also aim to enhance uptake of CIF's Just Transition Toolbox and provide the opportunity for MDBs and countries implementing the just transition planning activities through the JTI Call for Proposals to share lessons from their activities.
- 62. **Deep country engagements**: The E&L Initiative will collaborate closely with 2 –3 countries to further their just transition planning through workshops, guidance, and knowledge sharing.
- 63. **Blog/commenatry on JET-IP**: Given CIF's experience in supporting the JET-IP in South Africa, a short blog or commentary highlighting the lessons learned through this process will be published on the CIF website. Lessons will include those related to bringing diverse partners together to develop a shared vision and share the use of country coordination mechanisms.
- 64. **Support to the Women-Led Coal Transition (WOLCOT):** The Just Transition Initiative will continue to partner with the Gender team to support gender in Indonesia's just energy transition, including dissemenation of paper on entry points on women's climate leadership and coordinating with relevant ministries and partners to bolster women's leadership in the energy transition at the national and sub-national levels.

4.1.3 Development impacts

65. **Derivative products from the development impacts evaluation:** Following the launch of the evaluation on development impacts of climate finance in FY23, a series of derivative products will be launched in FY24 to further enhance uptake of tools and lessons from the evaluation. These products

will potentially include a workbook on creating developing impact pathways and fact sheets on each of the main development impact categories.

66. **Partnership with DIME:** Based on demand from MDB partners implementing the ACT program in country, further studies with DIME will be determined.

4.1.4 Scaling up climate finance

- 67. **New study on concessional finance**: A focus under the scaling up climate finance theme will be the completion of the new BNEF study that will aim to look at how concessional finance (and private capital) can help emerging markets deploy clean energy technologies, with a focus on clean energy economics and financing tools. It will look at an expanded analysis of the enabling environment research from Phase 1 of BNEF work with CIF, to better understand when concessional finance should be used to improve a country's enabling environment vs using concessional funds to invest in projects at scale to accelerate the development of clean energy markets.
- 68. **New work on transformational climate finance:** In collaboration with the TCLP, further work will be done to understand how to support transformational climate finance and what different actors in the climate finance architecture can contribute. This work will culminate in an in-person workshop at Wilton Park on just finance that will engage several of the prominent climate finance actors, including MDBs, the private sector, and the largest climate funds.

4.1.5 Progam and sector-specific studies

- 69. Independent evaluations: The Independent evaluation of the FIP and DGM will be completed in FY24, and lessons and insights from the evaluation will be disseminated broadly. As was done for the evaluation of SREP, a lessons learned webinar targeting the CIF Trust Fund Committees and MDB partners will abe held. The proposed timeline for independent program evaluations was shared in the <u>E&L FY23 Work Plan</u> and was endorsed by the TFC in June 2022. Per this schedule, the end-term evaluation of PPCR will be launched in FY24. Further, an evaluation of CIF's Technical Assistance Facility (TAF) has been added to this schedule per TFC request for the year FY25.
- 70. **TAF knowledge work**: Building on the lessons learned from the <u>Enablers: The Role of Enabling</u> <u>Environment in Scaling Up Climate Finance</u> report, an evaluation of CIF's TAF will be undertaken in FY25. In the interim, in FY24, the Initiative will contribute to a study that looks specifically at the COVID-19 Technical Assistance Response Window to understand whether this window is contributing to a green COVID-19 recovery and to structural changes that set countries on a clean and resilient economic development path.
- 71. **New learning platforms:** The E&L Initiative's work analyzing the EoI for the REI and NPC programs highlighted the need to have a platform that can bring together country stakeholders (from both sets of countries that were selected to develop investment plans and those that expressed interest but were not selected in these initial rounds of funding) to share lessons and knowledge on a periodic basis. These learning platforms will expand the reach and use of knowledge generated on select topics, and will reflect the E&L objectives of generating new evidence, applying learning, and fostering partnerships.
- 72. Knowledge for Resilience (KfR) Series: Originally launched in November 2018 through funding provided by the E&L Initiative, the CIF's KfR Series aims to identify evidence and examples of good

practices from PPCR project experience to advance climate resilience goals and guide decision-making among stakeholders. In FY24, the KfR Series will be supported by the E&L Initiative through the funding of 1-2 studies implemented by the PPCR team.

73. **South-South knowledge exchanges**: To deepen engagement with country stakeholders, support uptake of knowledge, and facilitate new partnerships/collaborations, the Initiative will continue to support South-South knowledge exchanges, in close collaboration with the involved MDBs. This will include providing funding and technical inputs for upcoming NPC knowledge exchanges in different regions, as well as technical inputs for other similar initiatives organized by the CIF.

4.1.6 Other areas

- 74. Gender, social inclusion, and local stakeholder engagement: These are important cross cutting themes across all of the Initiative's work including the independent program evaluations that have case studies focussing on these aspects; the Just Transition Toolbox that includes multiple tools related to these themes; and the development impacts work that includes modelling of impacts related to these themes. In FY24, the Initiative will continue to mainstream these themes through its analytical work, discussions, learning events, and partnerships. Gender will be a key focus area for the PPCR evaluation and the paper focused on the inclusion of persons with disabilities with regard to CIF is financing is expected to be pubslihed in FY24.
- 75. Evaluation Capacity Development (ECD) for CIF Observers: The Initiative will launch a capacity building call for proposals for CIF Observers, with two main objectives: i) to support CIF Observers in building local evaluation capacity related to climate-focused activities, and ii) to continue developing demand-driven evidence and knowledge on priority E&L learning themes. The proposals for research and evaluative studies will need to focus on the Initiative's five priority learning themes; target CIF's projects; and/or target one of CIF's various thematic areas. As part of this work, customized, climate-focused, applied ECD training will be provided by the <u>Global Evaluation Initiative (GEI)</u> to enhance local capacity.
- 76. **Communications and outreach:** Ongoing conversations with various stakeholders and the Advisory Group have emphasized the success of short videos in communicating lessons and insights. Accordingly, there will be heightened emphasis on the use of short videos in FY24 to convey complex ideas on topics such as transformational change, just transitions, and climate finance.
- 77. There will also be an emphasis on expanding content in other languages to make the Initiative's work more accessible to stakeholders. This would involve translating select summary briefs into both French and Spanish, and continued efforts to provide multilingual options for products going forward. There will also be an emphasis on providing translation services for webinars, workshops, and other events.
- 78. **Collaboration with MDBs:** The E&L Initiative appreciates the requests made by CIF's MDB partners to keep MDBs better informed about its activities. There are several ways through which the Initiative engages with MDBs that will be continued in FY24. These include: representation of MDBs on the Initiative's Advisory Group; review of the Initiative's annual work plans; as part of the reference group for independent evaluations; as direct partners in its activities; as peer reviewers for its strategic publications; and as direct grantees of its call for proposals. In addition, the Initiative has started quarterly Knowledge, Monitoring, Evaluation, and Learning (KMEL) coordination calls with the MDB

partners, strengthened the representational role of the Chair of the E&L Advisory Group, and it will establish better coordination with the independent evaluation offices of the MDBs.

	Portfolio Status	FY23	FY24	FY25	FY26	FY27
FIP	Mature: Around half of a approved FIP funding is in projects that are eithe closed or 5+ years into implementation	FIP to focus on early		overing all programs		Summative evaluation of FIP (TBD*)
PPCR	Very mature: Most projects have closed or are near closing		Final, or summative, evaluation of PPCR to focus on program outcomes and generate lessons for the new CIF programs	<u> </u>		
CTF	Mixed maturity: CTF combines mature and closed projects approved under investment plans with many newer projects approved under the Dedicated Private Sector Programs (DPSPs) and the Global Energy Storage Program (GESP)	enabling environment for climate finance (ongoing)	supporting clean	onal Change	Summative evaluation of CTF and SREF	
SREP	Early maturity: Around 36% of approved SREP funding disbursed	Midterm evaluation focused on program effectiveness and lessons for new programs (ongoing)		Transformatic	Summative evaluation of CTF and SREF	

Table 4.2: Proposed Timeline for Program Evaluations

* Potentially to be replaced with a midterm evaluation of one or more of the recently launched CIF programs.

** This new evaluation has been added per request by the TFC

4.2 Budget

- 79. The five-year budget for the E&L FY23–27 Business Plan is USD 20 million. The E&L Initiative is now requesting a budget allocation of USD 4 million to fund the activities of the E&L FY24 Work Plan. Table 4.2 presents the overall five-year projected budget allocation for the E&L Initiative, by FY23–27 Business Plan objective.
- 80. The budget provides a rough, yearly estimate, but please note that, due to the multi-year nature of many evaluation and learning contracts and activities, commitments made in one fiscal year often result in activities that are sustained and/or completed in subsequent fiscal years. The additional personnel resources needed to execute the activities proposed in this Business Plan are included in the estimates provided. Costs to implement activities under Objectives 1–3, including individual consultants, implementing organizations, and logistical costs, are included in the yearly costs per

objective. "Other implementation support" includes additional cross-cutting management, implementation, administrative, and/or logistical support costs.

81. Table 4.3 lists indicative budget commitments for specific FY23 Work Plan activities. Please note that these figures and allocations may change as additional consultations are conducted and specific activities are further scoped, designed, and implemented.

Table 4.3: E&L FY23–27 Projected Budget Allocations, by Business Plan Objective and Year
(US\$)

Objective	FY23 ⁷	FY24	FY25	FY26	FY27	Total
New evidence on priority themes	\$1,600,000	\$1,750,000	\$1,600,000	\$1,300,000	\$1,600,000	\$7,600,000
Application of learning	\$1,600,000	\$1,500,000	\$1,400,000	\$1,700,000	\$1,400,000	\$7,600,000
Collaborations and partnerships	\$500,000	\$450,000	\$700,000	\$700,000	\$700,000	\$3,300,000
Other implementation support	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$1,500,000
Total	\$4,000,000	\$4,000,000	\$4,000,000	\$4,000,000	\$4,000,000	\$20,000,000

⁷ FY23 allocated are actual whereas FY24 onwards are projected.

FY24 Work Plan Activity	Indicative Budget
Objective 1: New evidence on priority themes	
Transformational change: Conceptual/analytical work, new guidance/toolkits, and other analytical or conceptual work	\$250,000
Just transition: Iterative additions to the JT Toolbox, other related evidence and learning activities	\$250,000
Development impacts: Additional analyses or products on CIF development contributions, including partnership with DIME	\$150,000
Program, sector, or thematic studies: Knowledge for resilience; gender and social inclusion; responsive research studies	\$200,000
Program and sector-specific studies: New independent evaluation of PPCR	\$450,000
Research and evaluation capacity development activity for Observers	\$450,000
Objective 2: Application of learning	
Transformational change: TCLP facilitation, organization of other events/workshops	\$250,000
Just transitions: roundtable series and country engagements	\$300,000
Additional learning engagements: Deeper strategic learning with countries, MDBs, and other institutions including South-South knowledge exchanges	\$250,000
Learning platform for NPC	\$350,000
Learning platform for REI	\$350,000
Objective 3: Collaborations and partnerships	
Development of new partnerships and collaboration for learning and dissemination	\$200,000
Co-organization of strategic events with key partners for dissemination, uptake, and learning	\$150,000
Development of FY24 Work Plan and other engagements with the E&L Advisory Group	\$100,000
Other implementation support	\$300,000
Total	\$4,000,000

4.3 Management and Reporting

82. E&L FY23 Work Plan activities will continue to be guided by the E&L Advisory Group while the Senior Evaluation and Learning Specialist in the CIF Administrative Unit will continue to lead overall implementation of the Initiative. The Senior Specialist will work together with the Advisory Group, colleagues in the CIF Administrative Unit, and the broader CIF community to coordinate and implement E&L activities. The CIF Administrative Unit will provide annual reporting to the Trust Fund Committee on the delivery of the E&L FY24 Work Plan, and will make ongoing adjustments to FY24 activities based on feedback. The E&L Initiative is committed to continuing practice of ongoing reflective learning to ensure continued relevance, quality, and impact of activities.

5 Annexes

5.1 Overview of E&L FY23 Work Plan Deliverables

	sformational Change (TC)	Just Transition (JT)	Development	Scaling Up Climate Fi	nance
Evidence Application of Learning	 Toolkit for program design & implementation Transformational change evaluation guidelines Transformational climate finance paper & guidelines Transformational change case study Paper on scaling pathways Stakeholder mapping Support to CIF TAF workstream to enable conditions for scaling up climate finance Large in-person Transformational Change Learning Partnership (TCLP) workshop in October 2022 Smaller, focused virtual & in-person TCLP workshops, including interest group meetings and focus on TCF Virtual workshops on evaluation guidelines and TCF Continued use of TC toolkit through clinics for new CIF programs Application and review of 	Just Transition (JT) Interactive online toolbox with 80+ tools & case studies, built as learning modules, with a gender tool 4+ calls for proposals 2+ CIF-led country pilots Bolivia just transition case study in English and Spanish Contributions to Just Energy Transition preparations in South Africa Community of practice activities, incl. 4+ South- South roundtables Engagement with the Bolivian government on JT study insights Participation in workshops and events (JTFA, LACC Week, etc.) Input to new CIF program investment plan clinics	 Development Impacts (DIs) Mixed-method evaluation on development impacts CIF portfolio modeling memo Development impact evaluation experimental studies and research Development of next phase of impacts measurement and approach Dissemination of findings from DIs evaluation Joint learning with MDBs on DIs modeling at portfolio and/or project levels Deeper look at use of findings for new CIF programs investment plan development 	 Concessional finance independent study Input to Egypt Ministry of International Cooperation COP27 climate finance guidebook Analytical study on private sector participation in adaptation and resilience Cross-sectoral work under TC and JT themes Cross-sectoral work through TCLP and JT events and workshops Dissemination of findings from concessional financing study and joint learning through development process Adaptation finance study as foundation for 2+ 	 Program evaluation of the Forest Investment Program (FIP) and the Dedicated Grant Mechanism (DGM) Country engagements on key themes CIF Renewable Energy Integration (REI) program proposal synthesis Learning review of CIF technical assistance Scaling up Renewable Energy Program (SREP) evaluation, dissemination, and use focused workshop Setup of evaluation reference group for input to FIP and DGM evaluation and real time learning
	 including interest group meetings and focus on TCF Virtual workshops on evaluation guidelines and TCF Continued use of TC toolkit through clinics for new CIF programs 	government on JT study insights Participation in workshops and events (JTFA, LACC Week, etc.) Input to new CIF program	portfolio and/or project levels • Deeper look at use of findings for new CIF programs investment plan	concessional financing study and joint learning through development process • Adaptation finance study as	 and use focused workshop Setup of evaluation reference group for input to FIP and DGM evaluation and
	 evaluation guidelines along program lifecycle 2+ country workshops (Mozambique) 2+ Capacity building workshops (Philippines, South Africa) Participation in external events (AEA, SAMEA, Wilton Park, etc.) JT policy/strategy/approach 	-		in-person South- South knowledge exchange events (e.g., Egypt September 2022)	• 3+ country engagement workshops and activities

boration and Partnerships

laboration with other climate funds, observers, and other CIF stakeholders on knowledge work; and

adened reach to more diverse audiences and development of additional partnerships to facilitate the dissemination, uptake, and use of E&L knowledge.

5.2 E&L Initiative Activities Completed or Ongoing in FY23, by Learning Theme

Activity Title and In	nplementing Organization(s)	Activity Type ⁸	Status or Due Date
Transformational C	hange		
CIF, Ross Strategic → TCLP video → TCLP Octobe → Transformat	ange Learning Partnership (TCLP) er 2022 Workshop Multimedia Page tional Change concepts, questions, and signals dership article on transformational climate finance	Cross-Cutting	Ongoing
CIF	ic Case Studies on Transformational Change paper on Transformational Climate Finance	Cross-Cutting	Ongoing
	tional Change aluation, Learning and Transformational Change Collaboratively Building a Partnership for Transformational Change	Cross-Cutting	Ongoing
Development Impa	cts of Climate Finance and Just Transition		
Just Transition Tool <i>CIF</i> → <u>Toolbox</u>	box	Cross-Cutting	Complete
CIF, The Energy and H → Apr 2023: Su	Transitions in CIF Programs and Projects Resources Institute, Stockholm Environment Institute upporting Just Transitions to a Sustainable Water Sector in Bolivia : <u>English</u> and <u>Spanish</u> ; Summary: <u>English</u> and <u>Spanish</u>)	Cross-Cutting	Ongoing
Evaluation of the Soc CIF, Industrial Econor Final evalua Summary br Case studies Modeling m	<u>tion</u> <u>rief</u> S	Cross-Cutting	Complete
Lessons Learned from World Bank	n the Noor Ouarzazate Solar Complex in Morocco	Cross-Cutting	Dec 2023
Mobilizing the Prive	ate Sector Through Concessional Finance ⁹		·
	Guidebook for Just Financing Iternational Cooperation, with inputs from CIF	Cross-Cutting	Complete

⁸ "Cross-cutting" indicates a study commissioned directly by the E&L Initiative, while "CfP" indicates E&L Call for Proposals activities commissioned through this modality with CIF partners and Observers in 2017 and 2018.

⁹ Leveraging the private sector is a cross-cutting theme incorporated in several activities listed under other learning themes, including transformational change, just transition, and program and sector-specific topics. A previously planned, forward-looking review on the use of concessional finance in clean energy markets was postponed, but it may be implemented in FY23.

Activity Title and Implementing Organization(s)	Activity Type ⁸	Status or Due Date
<u>Case studies</u>		
Local Stakeholder Engagement & Benefit		
Using Behavioral Science to Improve Communication Outreach and Increase Female Participation in Natural Resource Management in Mexico World Bank and The National Forestry Commission (CONAFOR) World Bank policy research working paper	CfP	Complete
Program and Sector-Specific Priorities		
Mid-Term Evaluation of the Forest Investment Program (FIP) and Dedicated Grant Mechanism (DGM) Indufor North America and ICF	Cross-Cutting	Feb 2024
 Maximizing Transformational Impact Toolkits CIF → Accelerating Coal Transition (ACT) Program → Nature, People and Climate (NPC) Program → Renewable Energy Integration (REI) Program 	Cross-Cutting	Ongoing
Enablers: The Role of Enabling Environment in Scaling Up Climate Finance <i>CIF and KPMG</i> → Final report	Cross-Cutting	Complete

The Climate Investment Funds

The Climate Investment Funds (CIF) were established in 2008 to mobilize resources and trigger investments for low carbon, climate resilient development in select middle and low income countries. To date, 14 contributor countries have pledged funds to CIF that have been channeled for mitigation and adaptation interventions at an unprecedented scale in 72 recipient countries. The CIF is the largest active climate finance mechanism in the world.



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